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# MODULES INVARIANT UNDER ENDOMORPHISMS AND AUTOMORPHISMS OF THEIR INJECTIVE HULLS

Reviewed

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**Abstract.** A module invariant under endomorphisms of its injective hull is called an endomorphisminvariant module. Endomorphism-invariant modules are precisely the quasi-injective modules. A module invariant under automorphisms of its injective hull is called an automorphism-invariant module. Automorphism-invariant modules, which generalize the notion of quasi-injective modules, are precisely the pseudo-injective modules. A module invariant under idempotent endomorphisms of its injective hull is called an idempotent-invariant modules. Idempotent-invariant modules, which also generalize the notion of quasi-injective modules, are precisely the quasi-continuous modules. In this paper, some results of these classes of modules are established.

Keywords: Endomorphism-invariant module, automorphism-invariant module and idempotent-invariant module.

#### Introduction

Throughout, R will denote an associative ring with unity and all modules are unitary R-modules, unless otherwise stated. For a module M, E(M), End(M) and Aut(M) will denote the injective hull, the endomorphism ring and the group of automorphisms of M, respectively. We write  $N \subseteq M$  if N is a submodule of M,  $N \subseteq^{ess} M$  if N is an essential submodule of M and  $N \subseteq^{\oplus} M$  if N is a direct summand of M.

Automorphism-invariant modules, introduced by Lee and Zhou (2013) has been extensively studied and used to characterize various modules and rings, in recent times.

A module which is invariant under endomorphisms of its injective hull is called an endomorphism-invariant module, i.e., M is endomorphism-invariant an module if  $f(M) \subseteq M$ for all  $f \in End(E(M))$ . Johnson and Wong (1961) proved that a module М is invariant under anv endomorphism of its injective hull if and only if any homomorphism from a submodule of Mto M can be extended to an endomorphism of M. A module which is invariant under automorphisms of its injective hull is called an automorphism-invariant module, i.e., M is an automorphism-invariant module if  $f(M) \subset M$  for all  $f \in Aut(E(M))$ . Novan Er et. al (2013) proved that a module is automorphism-invariant if and only if any

monomorphism from a submodule of M to Mcan be extended to an endomorphism of M. A module which is invariant under idempotent endomorphisms of its injective hull is called an idempotent-invariant module, i.e., M is an idempotent-invariant module if  $f(M) \subset M$ for all  $f^2 = f \in End(E(M))$ . Goel and Jain (1978) proved that idempotent-invariant modules are precisely the  $\pi$  – -injective modules which are the same as quasicontinuous modules defined by Jeremy (1974). A ring is said to be *clean* if each of its elements is the sum of a unit and an idempotent. A module is said to be *clean* if its endomorphism ring is clean. A right *R*-module *M* is said to satisfy the *exchange property* if for every right R-module A and any two direct sum decompositions  $A = M_1 \oplus N = \bigoplus_{i \in I} A_i$ with  $M_1$ ; M, there exist submodules  $B_i$  of

 $A_i$  such that  $A = M_1 \oplus (\bigoplus_{i \in I} B_i)$ . If this holds only for  $|I| < \infty$ , then *M* is said to satisfy the finite exchange property. A module is called *square-free* if it contains no non-zero submodules isomorphic to a square  $A \oplus A$ . A sub-module *N* of a module *M* is said to be *essential* in *M* if for every non-zero submodule *B* of *M*,  $B \cap N \neq 0$ . A module *M* is called *uniform* if and only if every non-zero submodule of *M* is essential in *M*.

In this paper, it is established that every endomorphism-invariant module is automorphism-invariant but the converse is not true; also, every endomorphism-invariant module is idempotent-invariant but the converse does not hold. Certain conditions which an automorphism-invariant under module becomes endomorphism-invariant and under which an idempotent-invariant module endomorphism-invariant becomes are investigated. Various implications of these classes of modules are established and several counter-examples are provided to justify why certain classes of these modules are not contained in the other class.

# Endomorphism-invariant modules and automorphism-invariant modules

For an *R*-module *M*, consider the following conditions:

(C1) Every submodule of M is essential in a direct summand of M.

(C2) Every submodule of M that is isomorphic to a direct summand of M is itself a direct summand of M.

(C3) If A and B are direct summands of M with  $A \cap B = 0$  then  $A \oplus B$  is also a direct summand of M.

*M* is called a  $C_1$ - or a *CS* (or an *extending*) module if it satisfies (C1); *M* is called a continuous module if it satisfies (C1) and (C2); *M* is called a *quasi-continuous module* if it satisfies (C1) and (C3).

A module which is invariant under endomorphisms of its injective hull is called an endomorphism-invariant module, i.e., M is called an endomorphism-invariant module if  $f(M) \subseteq M$  for all  $f \in End(E(M))$ .

Α module which is invariant under automorphisms of its injective hull is called an automorphism-invariant module, i.e., M is called an automorphism-invariant module if all  $f \in Aut(E(M))$ .  $f(M) \subseteq M$ for Endomorphism-invariant modules are automorphism-invariant but the converse is not true, in general.

**Theorem 1.1.** Every endomorphism-invariant module M is automorphism-invariant.

**Proof.** Let M be an endomorphism-invariant module. Then  $f(M) \subseteq M$  for all  $f \in End(E(M))$ . In particular,  $f(M) \subseteq M$  for all  $f \in Aut(E(M))$ . Thus M is an automorphism-invariant module.

In general, the converse of the above theorem is not true.

**Example.** If *R* is the ring of all eventually constant sequences  $(x_n)_{n \in N}$  of elements in  $\mathbb{Z}_2$ , then  $E(R_R) = \prod_{n \in N} \mathbb{Z}_2$  has only one automorphism, namely the identity automorphism. Thus

 $R_R$  is an automorphism-invariant module but

 $R_R$  cannot be endomorphism-invariant as  $R_R$  does not satisfy (C1).

**Theorem 1.2.** *Every endomorphism-invariant module is clean.* 

**Proof.** Let M be an endomorphism-invariant module. Then  $f(M) \subseteq M$  for all  $f \in End(E(M))$ . Since every endomorphism-invariant module satisfies (C1) and (C2), M is continuous and continuous modules are clean (Goel and Jain, 1978).

**Theorem 1.3**. Every  $C_1$  automorphisminvariant module is endomorphism-invariant.

**Proof.** Let *M* be a  $C_1$  automorphism-invariant module. Since automorphism-invariant modules satisfy (C3) (Lee and Zhou, 2013), M is idempotent-invariant i.e.  $f(M) \subseteq M$  for all  $f^2 = f \in End(E(M))$ . Also М being automorphism-invariant,  $f(M) \subset M$  for all  $f \in Aut(E(M))$ . As E(M) is an injective module, it is clean and so any  $f \in End(E(M))$ is sum a of an automorphism and idempotent an endomorphism. Thus  $f(M) \subseteq M$ for all  $f \in End(E(M))$  and М so is an

endomorphism-invariant module.

**Theorem 1.4**. A uniform automorphisminvariant module is endomorphism-invariant.

**Proof.** Let M be a uniform automorphisminvariant module. Since M is uniform, every non-zero submodule N of M is such that  $N \subseteq^{ess} M$  and so M is a  $C_1$ -module. Thus Mis endomorphism-invariant by Theorem 1.3.

A summand of an endomorphism-invariant module is endomorphism-invariant but a direct sum of endomorphism-invariant modules need not be endomorphism-invariant, as illustrated by the following example:

The  $\mathbf{Z}$ -module  $\square \oplus \mathbf{Z}_p$  is not endomorphism-invariant even though  $\square$  being injective as a  $\mathbf{Z}$ -module, is endomorphisminvariant and  $\mathbf{Z}_p$  being simple as a  $\mathbf{Z}$ -module, is endomorphism-invariant.

**Theorem 1.5.** (Guil and Srivastava, 2013) Every automorphism-invariant module has the finite exchange property and also the full exchange property.

**Proof.** Let *M* be an automorphism-invariant module. Let S = End(M) and let J(S) denote the Jacobson radical of *S*. Then S/J(S) is a von Neumann regular ring and idempotents lift modulo J(S) (Guil and Srivastava, 2013) and such a ring is an exchange ring (Nicholson, 1977). Thus S = End(M) is an exchange ring and so *M* has the finite exchange property.

Since *M* is automorphism-invariant,  $M = A \oplus B$ , where *A* is endomorphisminvariant and *B* is a square-free module Er *et*. *al* (2013). Since  $B \subset^{\oplus} M$ , and *M* has the finite exchange property, *B* also has the finite exchange property and for square free modules, the finite exchange property implies the full exchange property (Nielsen, 2010). *A* being endomorphism-invariant has the full exchange property. As *M* is a direct sum of *A* and *B*, both having the full exchange property. M also has the full exchange property.

**Theorem 1.6**. (Guil and Srivastava, 2013) *Every automorphism-invariant module is clean.* 

**Proof.** Let M be an automorphism-invariant  $M = A \oplus B$ , where A is module. Then endomorphism-invariant and B is a square-free module. By Theorem 1.2, A is clean. End(B)is an exchange ring as B has the finite exchange property as seen in Theorem 1.5. Also, idempotents in End(B)/J(End(B)) are central (Mohamed and Müller, 1990). Thus End(B)/J(End(B)) is a clean ring as End(B)/J(End(B)) is an exchange ring with all idempotents central. Since idempotents lift modulo J(End(B), End(B)) is a clean ring and so B is a clean module. M being a direct sum of two clean modules, is also a clean module.

# Endomorphism-invariant modules and idempotent-invariant modules

**Theorem 2.1.** Every endomorphism-invariant module M is idempotent-invariant.

**Proof.** Let *M* be an endomorphism-invariant module. Then  $f(M) \subseteq M$  for all  $f \in End(E(M))$ . In particular,  $f(M) \subseteq M$  for all  $f^2 = f \in End(E(M))$ . Thus *M* being invariant under all idempotent endomorphisms of its injective hull, is an idempotent-invariant module.

The converse of the above theorem does not hold, in general as illustrated by the following example:

The  $\not{e}$  – module  $\not{e}$  is idempotent-invariant but not endomorphism-invariant.

We thus have the following implications:

Endomorphism-invariant  $\Rightarrow$  Automorphism-invariant and

Endomorphism-invariant  $\Rightarrow$  idempotent-

invariant although the converse in both implications are not true.

It is note-worthy to mention that the classes of automorphism-invariant modules and idempotent-invariant modules are not contained in one another as shown by the following example:

**Example**. If  $\mathbf{Z}$ ,  $\square$  denote the ring of integers and rational numbers respectively,  $\mathbf{Z}_{\mathbf{Z}}$  is an idempotent-invariant module which is not automorphism-invariant because the injective hull  $\square_{\mathbf{Z}}$  of  $\mathbf{Z}_{\mathbf{Z}}$  has the automorphism  $\alpha : \square \to \square$  defined by

$$\alpha(x) = \frac{x}{2}$$
 but  $\alpha(\mathbf{Z}) \not\subset \mathbf{Z}$ .

Endomorphism-invariant modules and automorphism-invariant modules are clean but idempotent-invariant modules are not clean, in general.

For any *R*-module *M*, a monomorphism  $\theta \in End(M)$  is called an *essential* monomorphism if  $Im(\theta)$  is an essential submodule of *M*. A module *M* is called *essentially co-Hopfian* if every essential monomorphism in End(M) is an automorphism.

**Theorem 2.2.** Every essentially co-Hopfian idempotent-invariant module is clean.

**Proof.** Let *M* be an essentially co-Hopfian idempotent-invariant module. Since *M* is idempotent-invariant, every  $\theta \in End(M)$  is such that  $\theta = g + h$ , where  $g = g^2 \in End(M)$  and *h* is an essential

monomorphism and so  $\operatorname{Im}(h) \subseteq^{ess} M$ . As M is essentially co-Hopfian,  $h \in Aut(E(M))$ . Thus every  $\theta \in End(M)$  being the sum of an idempotent and an automorphism, End(M) is a clean ring and so M is a clean module.

Although the classes of automorphism-invariant and idempotentinvariant modules are not contained in one another, the following theorem shows that uniform automorphism-invariant modules are idempotent-invariant.

**Theorem 2.3.** *Every uniform automorphisminvariant module is idempotent-invariant.* 

**Proof.** Let M be a uniform automorphisminvariant module. Since M is uniform, it satisfies (C1) and M being automorphisminvariant, satisfies (C3) (Lee and Zhou, 2013). Thus M is an idempotent-invariant module.

**Corollary 2.4.** Every extending automorphism-invariant module is an idempotent-invariant module.

**Proof**. The proof follows from the fact that a module is extending if and only if it is uniform.

As is the case in endomorphisminvariant modules, a direct sum of idempotentinvariant modules need not be idempotentinvariant as illustrated in the following example:

**Example**. Let  $R = \begin{pmatrix} F & F \\ 0 & F \end{pmatrix}$  where F is any

field and let  $P = \begin{pmatrix} F & F \\ 0 & 0 \end{pmatrix}$  and  $Q = \begin{pmatrix} 0 & 0 \\ 0 & F \end{pmatrix}$ .

Here, *P* and *Q* are idempotent-invariant as *R*-modules. However,  $R = P \oplus Q$  is not idempotent-invariant as  $R_R$  satisfies (C1) but does not satisfy (C3).

Although endomorphism-invariant modules as well as automorphism-invariant modules are clean and they also satisfy the exchange property, it is not so for idempotent-invariant modules.

For example,  $\mathbf{Z}_{\mathbf{Z}}$  is an idempotent-invariant module but  $\mathbf{Z}$  is not a clean ring. It has been proved that every clean ring is an exchange ring. In fact,  $\mathbf{Z}$  is not even an exchange ring.

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# PROVENANCE OF THE SILICICLASTICS AT DISANG-BARAIL TRANSITION, NW OF KOHIMA: REFLECTIONS FROM GEOCHEMICAL ATTRIBUTES

Reviewed

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**Abstract:** In the present study Major Oxides, Trace and Rare Earth Elements compositions have been used to infer the source rock tectonics and the composition of the provenance of the siliciclastics at Disang-Barail Transition, North-West of Kohima town. Studied Tertiary sediments are fine to medium grained, ranging in composition from wacke to sublith arenite categories. Study suggests that the sediments for the siliciclastics at DBTS have been supplied by mixed provenance, with some contribution from igneous rocks having granitic and granodioratic composition. It also suggests an intense chemical weathering in the source area and an active /transitional tectonic set-up. Trace element analysis points towards some biogenic activities under marine depositional environment having good ventilation with no carbonate precipitation.

Keywords: Disang-Barail, rare earth elements.

# Introduction

Geologically, Nagaland forms a part of the Assam-Arakan tectonic province. This includes Assam, Nagaland, Arunachal Pradesh, Manipur, Mizoram, Tripura, and extends south- eastwards into the Arakan coast of Myanmar.

Morpho-tectonically, the Assam-Arakan basin is divisible into three parts corresponding to the geological units. The eastern limit is defined by the Naga-Lushai-Patkai hill range which is described geologically under three different belts:

- Belt of Schuppen
- Inner Fold Belt
- Ophiolite Belt and Naga Metamorphics

Several workers, while following the pioneer work of Evans (1932), have attempted the stratigraphy, sedimentology, structure and tectonic framework of the region (Bhandari *et al.*, 1973; Dasikachar, 1974; Dasgupta, 1977; Banerjee, 1979; Ganju and Khar, 1985; Acharya, 1986, 1991; and Naik *et al.*, 1991). In recent years, attention has been paid towards the study of Northeast Indian sedimentary basin in the light of plate tectonic. Srivastava (2002) and Srivastava *et al.* (2004), identified a well- developed transition zone

between Disang and Barail Groups named Disang- Barail Transitional Sequences (DBTS). Also, Srivastava (2015), Srivastava and Pandey (2011); Srivastava *et al.* (2015, 2017, 2018); Kichu and Srivastava (2018) and Kichu *et al.* (2018) have worked on various areas of IFB regarding provenance, tectonic setting, and the depositional environment.

#### Study area

The study area forms a part of Kohima Synclinorium within the Inner Fold Belt and lies towards north-west of Kohima town. The area under investigation is incorporated in the topographic sheet no. 83 K/2 of the Survey of India. The rocks that are exposed in the study area are of Disang-Barail Transition (DBTS, Srivastava, 2002; Figure 1). The study area exposes a mixed lithology of sand-silt and shale. Sandstones from the study area range between fine to medium sand fraction. Sedimentary structures observed in the study area include wave ripples, plane and fine cross laminations, hummocky cross stratification and channel. Biogenic structures recorded from the study area belong either to Skolithos or Cruziana assemblage suggesting a near shore-shallow marine depositional environment 2002). (Srivastava,



Fig. 1: Geological map of the study area (after Kichu et al., 2018)

In the present study, an attempt is made to reconstruct the provenance using geochemical properties of the siliciclastics at the DBTS. For the purpose, ten (10) sandstone samples were analyzed for their major, trace and rare earth concentrations. Major and trace elemental analysis were carried out at the ONGC laboratories, Dehradun using XRF; and REE was done at the RSIC, IIT, Bombay using ICP-MS. Results are shown in the following tables (Tables 1, 2 and 3).

Petrographic composition and framework analysis are useful in reconstruction of the tectonic settings and also to understand the source rock composition. Many publications on the subject also signify their importance. However, it may not give the true relationship between the detrital mode and tectonic settings (Mack, 1984) as the post depositional processes some time destroy the original composition of the detritus. In such cases, geochemical parameters can be used to understand the tectonic settings. Interplay of variables such as weathering, transportation, diagenesis, sorting and heavy mineral composition generally modifies the primary modes of the detritus. Nevertheless, by using geochemical composition of the sediments, provenance composition and tectonic settings can still be inferred (McLennan *et al.*, 1993). Potter (1978), Dickinson and Valloni (1980), Valloni and Maynard (1981), Bhatia (1983) and Roser and Korch (1986), using modern sands of known tectonic settings, have shown that there is systematic variation in framework mineralogy and provenance type and tectonics.

#### **Major Oxides**

the Major oxide composition of siliciclastics have been used effectively by Bhatia (1983), Roser and Korch (1986), Suttner and Dutta (1986), Herron (1988), Condie (1976), Blatt et al. (1980), Siever (1987), LeMaitre (1976) and others for interpreting the nature of source rocks, tectonic settings and classifying the siliciclastic sediments. This is due to the fact that, specially in the fine-grained sediments, concentration of major elements are generally unaffected during the post depositional changes despite the large volumes of fluid that pass through the sedimentary pile (Wintesch and Kvale, 1994).

#### **Chemical Maturity**

Chemical maturity index (CMI) of clastic sediments can be shown as SiO<sub>2</sub> percent and  $SiO_2/Al_2O_3$  ratio.  $SiO_2/Al_2O_3$  ratio, which defines the chemical maturity of clastic sediments, can be also be used for classifying them. Sediments of the study area show a moderately higher SiO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> ratio. Bivariate plot between  $SiO_2$  and  $Al_2O_3+K_2O+Na_2O$ (Suttner and Dutta, 1986) suggest moderate chemical maturity and semi humid-semi arid climate (Figure 2). This is further supported by low TiO<sub>2</sub>/ Al<sub>2</sub>O<sub>3</sub> ratios. Low K<sub>2</sub>O/Al<sub>2</sub>O<sub>3</sub> ratio points towards a sedimentary recycling (Bauluz et al., 2000). Srivastava et al. (2004), while working with sandstones from the same area, have suggested a recycling of the sediments based on their petrographic

55.89

25.65

R97/55

compositions. Degradation of feldspar, which is very sensitive to chemical weathering, increases the mobility of many elements through clays (Taylor and McLennan, 1985). Degree of weathering of the source rocks can be interpreted by the degree of feldspar, which is known as index of alteration and is expressed by the formula CIA= 100[  $Al_2O_3/(Al_2O_3+CaO+Na_2O+K_2O)$ ] (Nesbitt and Young, 1982). In the present study, total CaO has been considered for determination of CIA as there is no method to differentiate between carbonate CaO and silicate CaO. Similar approach has been adopted by other workers (Roser et al., 1996; Al-Harbi and Khan, 2008; Sen et al., 2012). CIA values for most of the samples are high thus indicating an intense weathering in the source area.

Type of siliciclastic (rich, intermediate or poor) has been determined by using the plot suggested by Crook (1974) and a quartz rich siliciclastics have been interpreted (Figure 3).

2.02

0.04

7.99

			8							
Sample No	SiO <sub>2</sub> %	Al <sub>2</sub> O <sub>3</sub> %	MgO%	Na <sub>2</sub> 0%	P <sub>2</sub> O <sub>5</sub> %	K <sub>2</sub> 0%	CaO%	TiO <sub>2</sub> %	MnO%	Fe <sub>2</sub> O <sub>3</sub> %
R 98/198	60.37	24.03	0.00	0.55	0.19	2.74	0.16	1.32	0.15	7.30
R96/6	61.06	23.27	1.46	0.59	0.14	4.03	1.10	1.49	0.06	3.67
R96/36	64.38	20.59	1.20	0.57	0.14	2.90	0.98	1.75	0.04	4.31
R97/63	67.45	20.98	0.78	0.51	0.17	3.01	0.31	1.44	0.02	2.25
R97/114	68.02	19.79	0.70	0.55	0.15	3.26	0.25	1.40	0.02	2.77
R97/179	61.49	22.82	0.49	0.61	0.15	4.36	0.14	1.50	0.06	5.23
R 97/184	55.97	26.25	0.83	0.57	0.12	4.98	0.17	2.08	0.03	5.63
R97/171	49.34	30.38	0.75	0.58	0.13	4.91	0.22	2.56	0.04	6.55
R97/172	49.30	14.57	0.00	0.57	0.24	2.33	0.33	1.54	1.22	29.83

0.15

4.46

1.11

**Table 1:** Major Oxide composition of the siliciclastics at Disang-Barail Transition, NW of Kohima town (in weight %).

0.59

1.48



Fig. 2: Bivariate plot of SiO<sub>2</sub> vs Al<sub>2</sub>O<sub>3</sub>+K<sub>2</sub>O+Na<sub>2</sub>O (after Suttner and Dutta, 1986)



Tectonic Setting

Relief features of the earth are basically controlled by the plate tectonics of the region. Many workers, including Middleton (1960), Crook (1974), Bhatia (1983) and Roser and Korsch (1986) basing on their works, have suggested that both source and basin set up can be interpreted from major element data. Major elements undergo some changes due to sedimentary processes where SiO<sub>2</sub> content increases and CaO and Na<sub>2</sub>O decrease which can help in understanding the weathering conditions also as this is controlled by the tectonic setting as well. For provenance type and tectonic settings, plots suggested by Condie (1976), LeMaitre (1976), Bhatia (1983) and Roser and Korch (1986) have been

used and a mixed provenance has been interpreted; where some sediment has also been supplied by the rocks of granitic/granodioritic composition (Figure 4 & 5). However, the plot suggested by Bhatia (1983) is inconclusive and does not show any affinity towards a particular field (Figure 6). Discriminatory plot suggested by Roser and Korch (1986) suggests a transitional tectonic setting for these sediments (Figure 7 & 8). Plot suggested by Herron (1988) has been used to classify the siliciclastics on the basis of its major oxide composition where it suggests a wacke category (Figure 9).

(2004) Srivastava et al. have categorized these siliciclastics as lith and sublith arenite categories on the basis of petrographic composition. This shifting may be due to the presence of very fine sediments ignored which are generally in the petrographic analysis (Sen et al., 2016).

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However, plot suggested by Crook (1974) suggests a quartz rich siliciclastics (Figure 3).





# **Trace elements**

The Trace elements composition of sandstones and shales from the DBTS of the study area exhibit substantial concentration of Barium (Ba) and subordinate Nickel (Ni), Vanadium (V) and Chromium (Cr). The concentrations of these elements together with Gallium (Ga) indicate the influence of marine environment. The content of Cr and V further indicate slightly to highly anaerobic conditions. The subordinate concentration of Nickel (Ni) has been attributed to the biogenic activities during deposition. A high value for Barium (Ba) relates to a deeper basinal condition. Nevertheless. the higher concentration of Barium (Ba) has also been attributed to the volcanogenic supply (Alexandrove, 1973) in contrast to low concentration of Sr which indicates a nonvolcanogenic provenance. The overall trace element contents of the study area reveal a deeper basinal relatively condition supplemented in a sediment supply from a mixed provenance.

**Table 2**: Trace elemental composition of the siliciclastics at Disang-Barail Transition, NW of Kohima town (in ppm)

Sample No	V	Cr	Со	Ni	Ga	Rb	Sr	Ba	Zn
R 98/198	167	64	29	192	5	57	18	295	168
R96/6	17	59	18	153	6	64	11	318	360
R96/36	195	59	21	166	3	27	209	865	75
R97/63	25	53	14	147	1	22	185	847	162
R97/114	168	54	17	158	0	57	5	336	66
R97/179	177	59	23	183	3	69	19	347	359
R 97/184	208	64	19	183	3	69	19	359	276
R97/171	262	69	22	197	0	66	35	562	162
R97/172	172	67	68	193	0	31	250	547	511
R97/55	210	68	24	184	10	30	354	161	161

Trace element geochemistry has been utilized by many workers (Nesbitt et al., 1980; Bhatia and Crook, 1986; Floyd and Leveridge, 1987: McLennan et al., 1990: and McLennan, 2001) for determination of source rock chemistry, depositional environment and palaeo-tectonic set up. Trace elements are suitable for provenance and tectonic setting of clastic sediments as they have low mobility and low residence time in sea water. Presence of Cr suggests some contribution from deep seated igneous rocks. Presence of Cr (53-69 ppm) in all the analyzed samples suggests a near shore environment. This also suggests there has been some contribution from metamorphic source too (Raghuwanshi, 2007). Zn is also present in all the samples which is generally found associated with sulfur and chloride as they have high affinity for these elements. Gallium (Ga) shows its presence in almost all the samples and show uniform distribution but does not have significant concentration. Generally, Ga is associated with clay mineral illite. Illite has been reported in all the samples analyzed indicating a deep burial condition (Srivastava and Pandey, 2001). High concentration of Barium (Ba) also suggests a near shore environment. This also intense weathering. suggests chemical Vanadium (Va) is generally derived from marine planktons and is preserved under low pH and high reducing conditions (Lewan and 1982; Lewan, 1984). Maynard, High concentration of Vanadium suggests organic derivation. V/Cr ratios of the sediments are good of ventilation in the indicator depositional environment (Dypiv, 1979). In the present study, in most of the samples, V/Cr ratio is more than 2, indicating good ventilation in the depositional environment.

#### **Rare Earth Elements (REE)**

Use of REE in evaluating the genesis of sedimentary rocks is more clearly understood since they are more resistant to removal by weathering and metamorphism. Further, it has also been clearly understood that REE pattern of average composition of upper continental crust is a suitable tool in interpreting the composition of source terrain. Major assumption behind equating the REE pattern of source rock is that the REE are transferred nearly quantitatively in the terrigenous components during erosion and sedimentation (McLennan, 1989).

In order to compare the relative abundance of the siliciclastics at the DBTS, data obtained from the analysis has been normalized. Such normalization is needed to neutralize odd-even effects caused by relative abundance of even atomic numbered REE as compare to odd ones. In the presence case, both chondrite (CI) as well as Post Archaean Australian shale (PAAS) normalized data were used and compared with the CI and PAAS patterns.

The REE patterns of the DBTS resemble with those of PAAS in possessing pronounced Eu depletion together with  $La_N / Yb_N$  ranging between 0.526 and 4.813 (La<sub>N</sub> /Yb<sub>N</sub> less than 15 for Post Archaean sedimentary rocks). The presence of pronounced negative Eu anomaly with respect to average CI and PAAS is very conspicuous feature of REE pattern of the sediments of the study area. Most of the earlier models on bulk crustal component have suggested that there is no significant depletion or enrichment of Eu in the REE pattern of average upper continental crust (Taylor and McLennan, 1985). Consequently, the presence of Eu depletion has been interpreted as reflecting shallow, intra-crustal differentiation leading to Eu depletion in the upper crust, associated continental with the production of granitic, ganodioritic rocks. Accordingly, the presence of negative Eu anomaly in these sediments appears to represent the similar Eu depletion in source terrain.

The REE patterns in the DBTS are spectacularly enriched with HREE thereby presenting a LREE depleted pattern in contrast to Post Archean sedimentary rocks with LREE enriched and HREE depleted pattern. The anomalous enrichment of HREE may be attributed to dominance of very fine to fine sand, silt and clay size fractions as well as exceptional concentration of Zircon in the heavy fractions (Cullers et al., 1987; 1988). Further, among the sand fractions, the coarser sizes tend to have lowest REE abundances and commonly display La/Yb ratio than finer grain sizes. For the rocks of the study area, La/Yb ratio may be considered as 4 for both sand and clay fractions. For framework grains in sandstones and siltstone, the REE chemistry of the various mineral grains depend on the igneous/metamorphic history of the provenance (Hanson, 1980; 1989). Review of REE chemistry for the siliciclastics by Taylor and McLennan (1985) indicates that the mineral quartz contains very low abundances of REE, much of the content being present within inclusions. If carbonate minerals are precipitated in equilibrium with sea water, they typically possess negative Ce anomalies which may be reflected in the bulk REE patterns of the sediments (Piper, 1974; Palmer, 1985). REE pattern in the study area exhibit pronounced positive Cerium anomalv (Average Ce/Ce\*=2.29). This feature may be attributed to the chemistry of sea water without carbonate precipitation during the deposition of DBTS. Absence of carbonate

phase in the sediments of the study area further substantiate the above statement.

Gromet and Silver (1983, Figure 10) recorded that a large proportion of the compliment of REE in some granitic rocks is found within minor phases such zircon, sphene, allanite and monazite. In the sedimentary cycles, the minor phases of granite appear as heavy minerals and may be concentrated locally during sedimentary sorting processes. As stated earlier, almost all samples analyzed (Srivastava, 2002) are found to contain significant amount of zircon, a mineral with high REE abundances. This high zircon concentration may exhibit REE pattern significantly different from the REE pattern of the source rocks.

Sample 🔿	R96/13	R7/110	R98/198	R97/63	R97/114	R97/58	R97/113	R97/99	R97/101	R96/6
	FS	VFS	SH	SH	SH	MS	FS	VFS	VFS	SH
Element										
La	1.04	3.79	ND	ND	1.89	5.49	ND	1.82	ND	17.51
Ce	9.48	9.57	ND	ND	44.45	13.16	21.56	38.05	ND	45.99
Pr										
Nd										
Sm	ND	ND	ND	ND	2.29	ND	0.84	0.81	ND	1.67
Eu	ND	ND	ND	ND	0.61	ND	0.38	0.50	ND	1.26
Gd	34.05	19.77	52.54	32.50	50.13	ND	30.18	34.79	33.06	65.87
Tb	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND
Dy										
Но										
Er	2.82	ND	1.24	ND	4.13	ND	ND	ND	ND	ND
Tm										
Yb	0.56	0.59	1.42	6.27	1.74	0.62	1.11	1.88	0.93	2.57
Lu	0.76	0.14	1.39	1.61	0.87	0.34	0.64	0.69	0.99	0.17

Table 3: REE composition of the siliciclastics at the DBTS.

FS: Fine sand, VFS: Very fine sand, MS: Medium sand, SH: Shale, ND: Non-determinable, -----Absent



**Fig. 10:** Plot of Gd<sub>N</sub>/Yb<sub>N</sub> vs Yb<sub>N</sub> (after Gromet and Silver, 1983)

# Conclusions

Based on the geochemical attributes and their analysis following conclusions have been drawn in the present study:

- 1. Sediments for the siliciclastics at DBTS have been supplied by mixed provenance, dominated by a recycled orogen.
- 2. Contribution from granitic/granodioratic rocks alongwith some contribution from metamorphic sources also.
- 3. High CIA value indicates intense chemical weathering in the source area. This also suggests that sediments are chemically matured.
- 4. A transitional tectonic settings.
- 5. Studies also suggest modified composition during weathering under warm and humid climate.
- 6. Trace element analysis suggests a mixed provenance, biogenic activities, both volcano and non-volcanogenic supply under the influence of marine environment of deposition. This also points towards good ventilation during the deposition of these sediments.
- 7. REE analysis suggests а granitic/granodioratic source with some contributions from sedimentary source. REE pattern is influenced by exceptional REE concentration of zircon. analysis also suggests there were

no carbonate precipitations during the deposition of these sediments.

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#### COMPARING ANN AND GARCH MODELS FOR PREDICTING MONTHLY RAINFALL IN DIBRUGARH, ASSAM, INDIA

Reviewed

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**Abstract**: To predict or forecast any given time series data, prediction models are necessary. Some of the available Time series data prediction models are ARIMA, GARCH, ANN, Fuzzy model, spectral model, Markov model etc. In this paper an attempt has been made to compare the forecasting ability of Artificial Neural Network (ANN) to Generalized Autoregressive Conditional heteroskedasticity (GARCH) model using 110 years of monthly rainfall time series data collected from India Meteorological Department, Govt. of India for Dibrugarh city in the state of Assam in India. Results show that for prediction of monthly rainfall in the said region ANN model is slightly more efficient than the GARCH model.

#### Keywords: ANN, GARCH, rainfall.

#### Introduction

Predicting the hydrological variables like rainfall, flood stream and runoff flow is one of the principal resources of water resource planning. Time series analysis of the occurrence of such variables have utmost importance in monitoring the hydrological behaviour of a region. The most vital factor in resource management, irrigation water scheduling and agriculture management is rainfall forecasting (Mimikou, 1983; Hamlin et al., 1987). Irrigation is not very common in wet and semi wet climate and the farmers have to rely on the rain water for crop water requirements. When rainfall is not enough the supplemental irrigation will be applied. Therefore modelling. monitoring. and forecasting of rainfall are very much important in agricultural activities (Geng et. al., 1986; Hoogenboom, 2000; Sentelhas et. al., 2001).

Rainfall is treated as one of the most complex and difficult events among other hydrological events. The rainfall data are multidimensional, nonlinear and dynamic. True quantitative forecasting of rain is a challenging and difficult job because of the complexity of atmospheric processes. Statistical forecasting methods such as ARIMA, Regression Model, Hidden Markov Model, Exponential Smoothing etc. and Artificial Intelligence (AI) methods such as Artificial Neural Network (ANN), adaptive network based Fuzzy Inference System (FIS), Genetic Algorithm (GA) have been proposed as forecasting techniques. The statistical techniques have developed for many years but

have been proven to be incapable of handling nonlinear series (Kamruzzaman *et. al.*, 2003; Kihoro *et. al.*, 2000). Hence AI methods have been used successfully in series forecasting, where the only goal is to minimize the predictive error. Thus these methods have been applied in solving numerous forecasting problems (Jang, 1993; Charhate *et. al.*, 2007; Banik *et. al.*, 2007; Kumar *et. al.*, 2007).

Empirical works (e.g. Whigham *et. al.*, 2001; Navone *et. al.*, 1994; Sen *et. al.*, 2001; Lee *et. al.*, 2006; Mondol *et. al.*, 2004; Islam *et. al.*, 2002; Wahid *et. al.*, 1999 and many others) have been carried out to forecast rainfall in context of various countries.

Some time series data exhibits volatile nature, where the conditional variance varies continually with time. This type of time series data are said to exhibit risk, where risk is measured in terms of volatility. If the time series data is highly volatile the error series obtained from the model, will no longer be a Gaussian time series. In such cases, if the conditional variance of the time series data at a time t, is modelled in terms of previous variances, such a modeling on conditional variances may be used to predict the time series data. ARIMA-GARCH error models were developed to take into accounts both the mean and volatility of the daily rainfall series (Yusof et al., 2013). This type of modeling is the basic principle behind ARCH models. ARCH models are introduced by Engle (1982). Later, Bollerslev (1986) proposed a Generalized ARCH (GARCH) model, which is an equivalent version of higher order ARCH model. The term conditional implies the level

of association on the past sequence of observations and the autoregressive describes the feedback mechanism that incorporates past observations into the present (Laux *et. al.*, 2011). So far few attempts have been reported on using GARCH models in hydrological literature series (Yusof *et. al.*, 2013).

The use of Artificial Neural Networks (ANN) in various domain of research have shown that ANNs have powerful pattern classification and pattern recognition capabilities. The ANN is inspired by the biological system of the brain particularly the ability to learn and generalize from experience. At present the ANN is being used in wide variety of tasks in the fields of business, industry, science etc. (Widrow *et. al.*, 1994). One of the major application area of ANN is forecasting (Sharda, 1994).

Any forecasting model assumes that there is a relationship between the past values and the future of a time series or other relevant variable. ANN have a better ability to identify the functional relationship than traditional statistical forecasting models. Finally, ANN are non-linear. In most of the traditional statistical forecasting models such as the Box-Jenkins or ARIMA methods (Box and Jenkins, 1976; Pankratz, 1983) assume that the models are linear. But the models would be totally inappropriate if the system is non linear. In fact real world systems are often nonlinear. (Granger *et. al.*, 1993).

Since 1980, many different ANN models have been proposed. Some of the most influential are the multi layer perceptrons (MLP), Hopfield (1982) networks and Kohonen's (1982) self organizing networks.

In this paper an attempt is made to compare the prediction ability of GARCH and ANN models using monthly rainfall data over Dibrugarh in Assam, India.

#### Data

Dibrugarh city is the headquarters of the Dibrugarh district in the state of Assam in India. Dibrugarh has a humid subtropical climate with extremely wet summer and relatively dry winters. It receives an average rainfall of 2758 mm per year. For this study monthly total rainfall from 1901 to 2010 for 110 years have been obtained for Dibrugarh from India Meteorological Department (IMD), Government of India. **Methodology** 

In the present study, artificial neural networks (ANN) and Generalized Autoregressive Conditional Heteroskedasticity (GARCH) models were used for forecasting monthly rainfall at Dibrugarh, Assam in India individually. These models are explained as follows.

**GARCH Modeling:** According to Bollerslev's GARCH model, the present conditional variance is modeled as a function of past variances and past values of squared innovations or residuals. The GARCH modeled time series data, is shown in (3.1), where c stands for a constant term, and  $\varepsilon_t$  stands for residual time series, which is Gaussian distributed with zero mean and variance  $\sigma_t^2$ 

$$y_t = c + \varepsilon_t$$
 (3.1)  
In (3.1)  $\varepsilon_t = \sigma_t z_t$  where

 $z_t = N(0,1)$  is the Gaussian random sequence with independent identically distributed (i.i.d) random variables of zero mean and unit variance. The variance  $\sigma_t^2$  is modeled as in (3.2), for a GARCH (*p*,*q*) process.

$$\sigma_t^2 = \alpha_0 + \sum_{i=1}^p \alpha_i \sigma_{t-1}^2 + \sum_{j=1}^q \beta_j \varepsilon_{t-j}^2$$
(3.2)

From (3.2), it can be seen that present conditional variance is represented as a function of past variances and past values of squared innovations. If p and q are taken as 1, the model is the simplest one and is GARCH (1,1). If p > 1, q > 1, that means the present variance at time t, is a function of previous variances at time t - 1, t - 2...,t - p and also a function of q previous squared residual values. Prediction: After validation, the model can be used in the prediction of future volatility values. In GARCH after the parameter estimation step is complete, only the future conditional variances can be predicted but not the future values. To obtain the future forecasts, a gaussian i.i.d with zero mean and unit variance is simulated and correspondingly its variance is made  $\sigma_t^2$  using  $\varepsilon_t = \sigma_t z_t \cdot \sigma_t^2$  is obtained by substituting the estimated

parameters in (3.2). Using the parameter c and the simulated time series  $\varepsilon_t$ , the GARCH

predictions  $y_t$  are obtained.

ANN Modeling : It is already mentioned that one of the major application area of ANN is forecasting. Some distinguished features of ANN that make it more appropriate in forecasting are as follows. Firstly, the assumptions about the ANN model are few. ANN are capable of learning the functional relationship among the data even if the underlying relationship are hard to describe. For a large sized dataset where the solution require knowledge ANN is more suitable. In such cases ANN can be treated as one of the multivariate nonlinear nonparametric statistical method (White, 1989; Replay, (1993); Cheng et. al., 1994). Secondly, even if the sample data contain noisy information, it can generalized the data and can predict future behaviour of the data. Thirdly, it is seen that ANN approximate any continuous function to any desired accuracy. So, it is a universal function approximator (Irie et. al., 1988; Hornik *et. al.*, 1989; Cybenko, 1989; 1989; Hornik, 1991). Any Funahashi, forecasting model assumes that there is a relationship between the past values and the future of a time series or other relevant variable. ANN have a better ability to identify the functional relationship than traditional statistical forecasting models. Finally, ANN are non-linear. In most of the traditional statistical forecasting models such as the Box-Jenkins or ARIMA methods (Box and Jenkins, 1976; Pankratz, 1983) assume that the models are linear. But the models would be totally inappropriate if the system is non linear. In fact real world systems are often nonlinear. (Granger et. al., 1993).

The rainfall data are multidimensional, nonlinear and dynamic. In this paper we focus on the multilayer perceptrons (MLP) introduced by Culloch and Pitts (1943). An MLP is typically composed of several layers of nodes. The first or the lowest layer is an input layer where external information are received. The last or the highest layer is an output layer where the problem solution is obtained. The input and output layers are separated by one or more intermediate layers called the hidden layers. The nodes in adjacent layers are usually fully connected by acyclic arcs from a lower layer to a higher layer. Fig 1 gives an example of fully connected MLP with one hidden layer.

For an explanatory forecasting problem the inputs to an ANN are usually the independent or predictor variable. The functional relationship estimates by the ANN can be written as –

$$y = f(x_1, x_2, ..., x_p)$$
(3.3)

Where  $x_1, x_2, ..., x_p$  are p independent

variables and y is a dependent variable. In this case the neural network is functionally equivalent to a nonlinear regression model. For an extrapolative time series forecasting problem inputs are typically the past observations of the data series and output is the future value. The ANN performs the following function mapping:

$$y_{t+1} = f(y_t, y_{t-1}, y_{t-2}, ..., y_{t-p})$$
(3.4)

where  $y_t y_t$  is the observation at time this case the ANN is equivalent to the

*t*. In this case the ANN is equivalent to the nonlinear autoregressive model for time series forecasting problem.

$$y = f\left\{\sum_{i=1}^{n} (w_{i}x_{i} + b)\right\} = f(W^{T}X + b)f(.)$$
(3.5)

where W denotes the vector of weights, X is the vector of inputs, b is the bias and f(.) is the activation function.

A Multilayer Perceptron (MLP) consists the following processing functions.

(i) Receiving the inputs.

(ii) Assigning appropriate weight coefficients of inputs.

(iii) Calculating weighted sum of inputs.

(iv) Comparing this sum with some threshold and finally

(v) Determining an appropriate output value.

Neural Networks are composed of simple elements operating in parallel. A neural network can be trained to perform a particular function by adjusting the values of the connections (weights) between the elements. Commonly neural networks are adjusted or trained so that a particular input leads to a specific target output. Such a situation is shown in Fig 1.





Here, based on a comparison of output and targets the network is adjusted, until the sum of square differences between the target and output values becomes the minimum. Typically many such input/target output pairs are used to train a network. Batch training of a network proceeds by making weights and bias changes based on an entire set (batch) of input vectors. Incremental training changes the weight and biases of a network as needed after presentation of each individual input vector.

Basic building blocks of every artificial neural network is artificial neuron, that is a single mathematical model (function). Such model has three simple set of rules: multiplication, summation and activation. At the entrance of artificial neuron the inputs are weighted what means that every input value is multiplies with individual weight. In the middle section of artificial neuron is sum function that sums all weighted inputs and bias. At the exit of artificial neuron the sum of previously weighted inputs and bias is passing through activation function that is also called transfer function.

Types of Activation Function:

An activation function is defined by f(x) and defines the output of a neuron in terms of its input x. There are three types of activation function –

1. Threshold Function:

 $f(x) = \int 1, \quad if \ x \ge 0$ 

 $\int (x)^{-1} \left\{ 0, \qquad ifx < 0 \right\}$ 

2. Piecewise Linear:

$$f(x) = \begin{cases} 1, & \text{if } x \ge \frac{y_2}{2} \\ X, & \text{if } -\frac{y_2}{2} < x < \frac{y_2}{2} \\ 0, & \text{if } x \ge \frac{y_2}{2} \end{cases}$$

3. Sigmoid Functions:

(i) Logistic Function, whose domain is [0,1]:

 $f(x) = \{1 + \exp(-\alpha x)\}^{-1}$ 

(ii) The Hyperbolic Tangent Function, whose domain is [-1,1]:

$$f(x) = \frac{1 - \exp(-\alpha x)}{1 + \exp(-\alpha x)} = \tanh\left(\frac{\alpha x}{2}\right)$$

#### **Performance Measures**

To compare the predictive capabilities of the proposed models, Mean Absolute Error (MAE), Mean Squared Error (MSE), and Mean Absolute Percentage Error (MAPE) are employed as performance indicators given as follows.

$$MAE = \frac{1}{n} \sum_{i=0}^{n} |y_E - y_o|$$
$$MSE = \frac{1}{n} \sum_{i=1}^{n} (y_E - y_o)^2$$
$$MAPE = \frac{1}{n} \sum_{i=1}^{n} \left| \frac{y_E - y_o}{y_o} \right| \times 100$$

where  $y_E$  is the computed and  $y_o$  is the observed value.

### **Results and discussion**

#### **GARCH Modeling**

Table 4.11 depicts the ACF, PACF, Q–Statistics and p–values (up to three places of decimal) of the squared error  $\varepsilon^2$  of AR(1) fit for the monthly rainfall data under our study.

# Table 4.1.1 ACF, PACF, Q-Statistics and pvalues of $\mathcal{E}^2$ of AR(1) Fit

	Dibrugarh								
LAG	ACF	PACF	Q- statistics	p- value					
1	0.0737	0.0737	7.1403	0.008					
2	0.0814	0.0764	15.863	0.000					
3	0.0139	0.0253	16.116	0.001					
4	0.0633	0.0676	21.3976	0.000					
5	0.0895	0.0787	31.9709	0.000					
6	0.0825	0.0624	40.9555	0.000					
7	0.0489	-0.029	44.1209	0.000					
8	0.0338	0.0245	45.6298	0.000					

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9	0.0082	0.0057	45.7187	0.000
10	0.0596	0.0483	50.4268	0.000
11	0.0324	0.0088	51.8172	0.000
12	0.1556	0.1351	83.9622	0.000

Table 4.1.2 shows the estimated parameters of the proposed GARCH (1,1) model by Maximum Likelihood Estimation (MLE) technique.

 Table 4.1.2 Estimated Parameters of the GARCH (1,1) Model

$lpha_{_0}$	$lpha_{_1}$	$lpha_{2}$
4065.55	0.27211	0.17857



# Fig 4.1.1 Scatter Plot Between Observed and Predicted Rainfall by GARCH(1,1) Model with *r* Value for Dibrugarh

Figure 4.1.1 shows the scatter plot between the observed and the predicted rainfall computed by GARCH (1,1) model along with the r value between them. High value of r indicates the high correlation between the observed and the GARCH predicted rainfall and a very prediction capacity of the proposed GARCH (1,1) model.

Table 4.1.2 MSE, MAE, MAPE and r Values for the GARCH(1,1) Model

MSE	MAE	MAPE	r						
7076.28	61.45	252.66	0.86						

Table 4.1.2 shows the MSE, MAE, MAPE and r Values for GARCH (1,1) model.

# ANN Modeling

The rainfall data has been trained using Levenberg-Marquadrt algorithm. In Table 4.2.1Mean Absolute Error, Mean Square Error (MSE) and r at different levels of Feedback Delays (Lag) and hidden layers of neuron are shown.

Table 4.2.1 Comparison of ANN Models for Dibrugarh

No. of	FD : 6			FD:6 FD:12			FD : 18		
Neu rons	MSE	MA E	r	MS E	MA E	r	MS E	MA E	r
10	7036.	60.0	0.8	5896	56.	0.8	6400	56.	0.8
	9	7	6	.6	03	8	.1	72	8
15	6694.	58.7	0.8	7210	59.	0.8	6255	58.	0.8
	9	1	7	.9	45	7	.5	06	8
20	6668.	57.9	0.8	5799	55.	0.8	6150	57.	0.8
	6	2	7	.6	42	9	.6	35	8
25	6500.	57.0	0.8	6036	55.	0.8	6325	59.	0.8
	8	6	7	.7	88	8	.1	79	8

Table 4.2.2 depicts the lowest MSE, lowest MAE and highest *r* values for the trained neural networks for the monthly rainfall data in Dibrugarh.

# Table 4.2.2 Number of Hidden Neurons, Feedback Delays (FD), MSE, MAE, MAPE and R Values for the Best Fitted ANN Models

Neurons	FD	MSE	MAE	MAPE	r
20	12	5799.6	55.42	248.65	0.89



Fig 4.2.1 Scatter Plot Between Observed and Predicted Rainfall by ANN Model with *r* Value for Dibrugarh

# **Comparison of ANN and GARCH Models**

Table 4.3.1 Comparison of GARCH and ANN Models

Models	MSE	MAE	MAPE	r
GARCH	7076.28	61.45	252.66	0.86
ANN	5799.60	55.42	248.65	0.89

Table 4.3.1 shows the Mean Squared Error (MSE), Mean Absolute Error (MAE) and Mean Absolute Percentage Error (MAPE) of GARCH and ANN models for the gauge station at Dibrugarh. All the performance functions for GARCH models are greater than those for the ANN models indicating superiority of ANN model over the GARCH model.

# Conclusion

In this analysis, it is found that the ANN model is more efficient in predicting monthly rainfall compared to GARCH models. However, if we observe the summary statistics of both these models, the differences between the values of the corresponding summary statistics are very low. Also, the superiority of ANN models over GARCH models is not universally accepted. Hence, it may be concluded that, for the monthly rainfall data in Dibrugarh, although the ANN model is slightly better than the GARCH model, both are competing models.

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# PALYNOLOGICAL INVESTIGATION OF UPPER PALAEOCENE—EOCENE OIL BEARING SEDIMENTS OF MORAN OILFIELD IN PART OF UPPER ASSAM SHELF

Reviewed

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**Abstract:** The present palynological investigation of Upper Palaeocene-Eocene oil bearing sediments of Moran Oilfieldcomprises mostly of alterations of shales and sandstone with thin beds of limestone. The samples (drill cuttings) of the present study were provided by the Oil India Limited from the Moran oilfield. The reported palynotaxas of the Moran oilfield are a variety of pteridophyte spores, angiosperms pollens, fungal spores and foraminifers indicating warm humid tropical climate and deltaic to shallow marine palaeoenvironment for Upper Palaeocene-Eocene period.

Keywords: Palynology, Palaeoenvironment, Moran Oilfield, Upper Assam Shelf, India.

# Introduction

The Upper Assam Tertiary sedimentary basin is the earliest explored and established onshore petroliferous basin in India. It represents the northeastern extremity of the Indian subcontinent encompassing an area of 57,000 sq. km and forms the northeastern part of Assam-Arakan Basin and contains several oil and gas fields. Until late eighties, the main oil producing horizons within Oil India Limited's (OIL) operational areas were within Upper Eocene-Oligocene (Barail) and Miocene (Tipam) reservoirs. The Upper Palaeocene-Lower Eocene sediments of Upper Assam Basin deposited through 58 million years (Cowie, J.W and Basset, M.G. 1989) is gaining importance; since the discovery of commercial oil in the Upper Palaeocene- Lower Eocene clastic reservoirs within the concession areas of OIL lying in the central part of Upper Assam Basin in 1991. The present exploration thrust in the basin is towards the deeper reservoirs (depth>4000m). At present more than 50% of the crude oil production is from the deeper reservoirs (Upper Palaeocene- Lower Eocene).

Several palynological studies of the Upper Palaeocene-Eocene sediments of Moran oilfields has been undertaken to ascertain the depositionalenvironment of the sediments. The first palynological studies in this region was attempted by Sahni as early as in 1947 with the Tertiary sediments of Assam. Subsequent developments in palynological studies in analysing the Tertiary sediments of Upper Assam by Sah and Dutta (1967), Singh and Tewari (1979), Sah *et al.* (1980), Kar *et. al* (1994) have made significant progress on the palynoassemblages of the sediments in this region.

# **Geological setting**

The area under investigation, Moran oilfield lies in the north east corner of India (27°10'56" N and 94°55'3"E) and is part of the Upper Assam Basin. The Assam Basin is located in the alluvial covered foreland shelf zone, known as Upper Assam Valley and contains several oil and gas fields (Fig-1).Geologically the Upper Assam valley is regarded as the Upper Assam shelf bounded by the Brahmaputra valley, Himalayan ranges in the north and Naga hills in southeast, Dhansiri valley in south upto Mishmi hills in the extreme northeast. The Moran oilfield is one of the oldest oilfields in the alluvium covered foreland shelf zone of Upper Assam basin. A few oil and gas fields of Upper Assam are located in the Naga thrust sheets of Assam Arakan fold belt. This part of India is very significant from both geological and commercial perspective, as it represents one of the most representative and thick Cenozoic sections of the North Eastern region andits surrounding present major oil and gas fields and coal fields as well.



Figure-1: Geological map of Upper Assam and its oil and gas fields (modified from Naidu and Panda 1997, and Mallick 1997)

#### **Objectives**

The present investigation on subsurface palynostratigraphy of Upper Paleocene- Eocene oil bearing sediments of Moran oilfield have been undertaken to study the palynomorphs present in the deposits and their taxonomic identification, stratigraphic position, age and palaeoenvironment.

#### Methodology

The present work has 20 samplesof drill cuttings collected by Oil India Limited were taken for making palynological slidesusing standard palynological techniques of digesting sedimentary rock in hydrochloric acid, hydrofluoric acid, nitric acid and heavy liquid separation with acetic acid followed by 5% solution of KOH. The slides were washed in polyvinyl alcohol and mounted in Canada balsam. The slides were then ready for identifying the grains under LEITZ ORTHOPLAN biological microscope.

#### **Palynofloral composition**

The palynotaxa recovered from the oil bearing sediments of Moran oilfield is rich and diversified and the assemblage is dominated by pteridophyte spores and angiosperm pollens. The important palynotaxa of the assemblage are:

- Pteridophyte spores: Lygodiumsporites sp., Cyathidites sp., Laevigatosporites sp., Polypodiisporites sp., Florschuetzia sp.
- Angiosperms: Proxapertites sp., Straitipollisbellus, Spinizonocolpites sp., Palmeopollenites sp., Longapertites sp., Polycolpites sp.,Retistephanocolpites sp., Foveotricolporites sp., Longapertites sp., Meyeripollisnaharkotensis, Marginipollis sp., Couperipollis sp.

In addition to this, fungal remains, especially the representative Phragmothyrites are also good indicators of palaeoclimate. The given samples ranges in depth from 3736m – 4510m (Table-1). The bottom depth i.e. 4510m is at the Langpar Member (Paleocene + Mid. Eocene) and the uppermost depth i.e. (3736m is at the Barail Group (Oligocene). The macerated residue studies of this sandstone, shale and limestone samples also shows presence of microfauna mainly foraminifera and ostracoda. The thin section and macerated residue studies of this sandstone, shale and limestone samples also shows presence of microfauna.

Identification, name of author, depth, description, affinity, occurrence and remarks against the specimens are furnished in the following.

# Systematic palynology

Longapertites- Arecaceae

**Genus:** *Longapertites vaneendenburgi* Van Hoeken-Klinkenberg, 1964 **Depth-** 3736m

Affinity: Arecaceae

**Description:** The specimen highlighted is unfortunately not very clear but after comparing with species like L. proxapertitoides (Van der Hammen& Garcia de Mutis, 1965), L. marginatus (Van Hoeken-Klinkenberg, 1964), and L. vaneendenburgi, we can confirm that the present specimen is identified as L. vaneendenburgi showing finely perforate walled structure and the distal is curved-shaped.

**Occurrence**: Campanian-Eocene, Nigeria; Eocene India.

#### POLLEN

Superdivision POLLENITES Potonie, 1931

**Genus:** *Meyeripollis naharkotensis* Baksi & Venkatachala 1970

**Depth-** 3850m

**Description:** Pollen grain broken, triangular, colpi not distinguishable, pores obscured with ornamentation.

Affinity:Uncertain. Similar type of spore have been recorded by Ghosh, Jacob and Lukose (1964) from Assam. Banerjee (1974) stated that this type of spore is possibly related to the family Schizaeaceae (*Lygodium*).

**Distribution**: Upper Eocene to Upper Miocene of Assam (Meyer 1954, Ghosh et. a1 1964, Baksi and Venkatachala, 1970), more common in the Oligocene, occasional in other horizons (Banerjee 1974).In the present study, it has been recovered from the upper part of the Kopili formation. Therefore the occurrence of this mioflora in the Upper-Palaeocene-Eocene sediments is questionable and may have happened due to contamination in drill cuttings before the samples were even collected.

MONOLETES

Superdivision MONOLETES Division AZONOMONOLETES Subdivision LAEVIGATOMONOLETES

ANTETURMA SPORITES TURMA TRILETES SUPRASUBTURMA ACAVATITRILETES SUBTURMA AZONOTRILETES Infraturma Laevigati

**Genus:** *Laevigatosporites sp. Ibrahim 1933* **Depth-** 3760m

**Description:** Spores oval in shape. Size ranges 40-50µm, bean shaped.

Affinity: Spores of this kind are found commonly in various members of *Polypodiceaeviz, Thylepteris, Asplenium, Athyrium, Aspidium,* etc.

**Remarks**: Ibrahim (1933) proposed the genus *Laevigatosporites sp.* with the following diagnosis: "Spores with a dehiscence mark and more or less smooth surface". They are bean-shaped spores, straight elongate marks.

**Genus-**Straitopollisbellus *sp.* Salar & Cheboldaeff 1977 **Depth-**3886m **Description:** Pollen grains tricolporate, oval in equatorial and sub-sircular in polar view.

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**Remarks:** The pollen of *striatopollis bellus* Sah 1976 is tricolpate but the pollen attributed to this species by Salard-Cheboldaeff 1977 is tricolporate and resembles that of striatocolporites.

Genus: Lygodiumsporites sp. Sah & Kar 1969 Depth-4174m Affinity- Schizaeceae Description: Microspore is roundly triangular

trilete where the Y-mark is distinct.

Occurrence: Tropical to Subtropical

**Genus:** *Palmaepollenites sp.* Potonie 1950 **Depth-**4030m **Description:** Pollen grains oval-elliptical size range 60-70µm.

Affinity: Palmae, Arecacea

Genus: Polycolpites sp.

**Depth-** 4264m

**Description:** Polycolpate, semicircular, 70µm in diameter.

**Remarks:** The Polycolpate pollen grains are common in Lower Tertiary sediments of Assam (Sah & Dutta 1966).

Affinity: Utricularia (Lentibulariaceae), fresh water, aquatic, terrestrial mostly in tropics.

Genus: Marginipollis sp. Clarke and Frederiksen, 1968

Depth-3620m

Affinity: Lecythidaceae

**Description:** Pollen grains are isopolar, prolate, spheroidal in equatorial view.

**Genus:** *Proxapertites sp.* Van der Hammen, 1956 **Depth-**3760m, 4030m

Affinity: Aracaceae

**Remarks**– Van der Hammen (1956) considered the pollen of *Proxapertites*as dyads with contiguous apertural faces. Muller (1968) however, found neither an evidence of a membrane covering the socalled 'aperture' nor a double membrane in the fossil grains interpreted as dyads. Observation from the present study has confirmed the opinion of Muller that, there is but a single membrane uniting the two halves of the pollen.

**Occurrence-** Tropical to temperate.

**Genus:** *Spinizonocolpites sp.* Muller 1968 **Depth-** 4102m

**Diagnosis:** Pollen grains subcircular to oval, size range around 45µm.

**Remarks**– Species attributed to *Spinizonocolpites* are spheroidal or ovoidal in shape. It also possess an extended sulcus and with a spinose exine.

**Age:** The genus *spinizonocolpites sp.* may indicate a Paleocene age.

**Genus**- Adnatosphaeridium multispinosum, William & Downie 1966

**Depth-** 4462m

**Description:** body is subspherical to spherical in shape.

**Dimension:** Size of cyst body 40-50µm

**Remarks:**This species vary in size and development. Older specimens are commonly larger and younger specimens are more commonly smaller and have more delicate process.

Turma TRILETES Suprasubturma ACAVATITRILETES Subturma AZONATI

Genus: Cyathidites sp. Couper 1953
Depth- 4030m
Description: Spores subtriangular to triangular, size range around 60μm.
Distribution: Cyathea is a common tree fern in Assam and on the precipitous slopes of Himalayas.
Affinity: Cyatheaceae
Occurrence: Tropical to temperate.

Genus: Couperipollis sp. Venkatachala & Kar 1969

**Depth:** 4174m

**Remarks:** The present pollen grain fall within the specific dimension range recorded so far. Sah & Dutta 1966, who stated after the diagnosis of this species that it possess long spines with bulbous base and rounded tip.

Geologic and geographic distribution: Eocene, Assam (Biswas 1962, Sah & Dutta 1966, Singh 1977)

Horizon: Lower Tertiary (Probably Eocene)

**Genus:** *Florschuetzia sp.* Germeraad 1968 **Depth:** 4030m

**Description**: Pollen grains are subspherical, one lobe distinct, pores circular.

**Genus:** *Polypodiisporites sp.* Potonie 1934 **Depth-** 3778m **Description:**the Spores are bean shaped, measuring 50-70μm in size. **Affinity:** Polypodiaceae

**Genus:** *Foveotricolporites sp.* Sah 1967 **Depth-**3748m **Description:** Pollen grains spheroidal-oval in equatorial view, tapering on either ends.

**Genus:** *Retistephanocolporites sp.* Van der Hammen and Wymstra, 1964 **Depth-** 3748m **Description:** Pollen grain circular in polar view.

Genus: Phragmothyrites Edwards 1922

**Depth:** 4264m

**Description**: Perithecium dark brown, subcircular in shape, outer part ruptured probably.



# Figure 2:

- (1) Longapertites sp. (2) Meyeripollisnaharkotensis
- (3) Laevagitosporites sp. (4) Laevagitosporites sp.
- (5) Straitopollis (6) Lygodiumsporites
- (7) Palmaepollenites sp. (8) Polycolpites sp.
- (9) Marginipollisconcinnus (10) Proxapertites
- (11) Spinizocolpites (12) Adnatosphaeridium sp.
- (13 & 14) Cyathidites (15) Couperipollis sp.
- (16) Florschuetzia sp. (17) Polypodiisporites sp.
- (18) Favitricolporites sp. (19) Retistephanocolpites sp.
- ( (20) Phragmothyrites (21) Microforaminifera(?)



Table 1: Lithological section of a well in Moran of Upper Assam Basin (Prepared from Litholog obtained from OIL).

# Palaeoclimate and depositional environment

From the microfloral investigation in Moran, it is evident that the pteridophytic spores along with angiospermous pollen are significantly represented in the assemblage whereas the gymnospermous forms are scarce. Table 1 shows the distribution of Upper Palaeocene to Eocene palyno-assemblage recovered from sediments in a well of Moran oilfield, Upper Assam Basin.

- Swamp Water Edge Members: Laevigatosporites, Polypodiisporites, Lygodiumsporites, Polycolpites
- Thick forest members: Longapertites, Palmeopollenites
- Mangrove and Back Mangrove Members: Spinizocolpites
- Fresh water influx: Polycolpites
- Shallow Marine members: Adnatosphaeridium multispinosum

Pollen grains belonging to Arecaceae (Monocolpopollenites, Palmidites) occur near the sea coast and are shore line elements. The palynotaxa of the Moran oilfield indicate that swamp and water edge elements viz. Polypodiisporites sp., Lygodiumsporites sp. and Polycolpites sp. and dense forest elements such as Palmeopollenites sp. and Longapertites sp. are found in the assemblage. According to Rull (1997) and Germeraad et al. (1968) the presence of Laevigatosporites sp. indicate a swampy fresh water or brackish water environment. The presence of mangrove member e.g Spinizonocolpites sp. of the humid tropics in the assemblage is significant as it suggest marine influx in the basin (Herngreen, 1998; Schrank, 1987). The occurrence of a few grains of *Polycolpites sp.* in the assemblage indicate fresh water influence at the time of deposition and thus it collectively indicate deltaic to shallow marine depositional environment.

It is interesting to note that the presence of pollen grains of Palmae (*Palmaepollenites sp.*) in the assemblage and also recorded earlier by Venkatachala & Mathur (1976) testifies to the fact that the basin of deposition was having some sort of coastal communication and the Palmae Province suggests a hot tropical to subtropical climate and the assemblages are interpreted as indicative of a warm and humid climate (Herngreen, 1998). The presence of spores of Schizaceae, Polypodiiceae and Cyatheaceae in the assemblage indicates that a subtropical climate prevailed at the time of

deposition of the Eocene sediments of Moran area. According to literatures. spinizonocolpites flourished in back mangrove in coastal areas and with the withdrawal of marine waters, genera like florschuetzia flourished. The presence of dinocysts i.e, Adnatosphaeridium multispinosum indicates warm shallow marine environment. The presence of microthyriaceous fruiting bodies like Phragmothyrites and other fungal spores are typical epiphyllous fungi and their occurrence in the present assemblage indicates the existence of a terrestrial plant ecosystem and represent a warm and humid climate with heavy rainfall.

#### Conclusion

From the present investigation which confines to Moran oilfield, it maybe concluded that:

- 1. The Palaeocene-Eocene palynofloral assemblage from the Moran oilfield consists of pteridophytic spores, angiosperm pollens, fungal spores and foraminifers.
- 2. On the basis of affinity with the modern families, it is suggested that a warm humid tropical climate may have prevailed during the sedimentation of the oil bearing sediments in Moran area.
- 3. The composition of the palynological assemblage suggests that the sediments were deposited under a fluctuating energy of deltaic to shallow marine water environment.

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### TRADITIONAL KNOWLEDGE OF ZOOTHERAPEUTIC USE AMONG THE ANGAMI TRIBES OF NAGALAND, INDIA

Reviewed

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**Abstract:** Zootherapeutic use of different animals as whole or body part or by product like blood, urine, bones, organ, honey, skin ,quills, intestine, legs, etc., for the treatment of different kind of ailments including tuberculosis, malaria, asthma, jaundice, blood cancer, stomach disorder, whooping cough, rheumatism, typhoid, diabetics, ear ache, fractured bones, anaemia, etc. among the Angami tribes of Kohima district, Nagaland has been discussed. 45 species of animals were listed (15 invertebrates and 30 vertebrates), which are being used for treating about 38 ailments.

Key words: Zootherapeutic Traditional Knowledge. Angami tribes. Nagaland.

# Introduction

Throughout human history, and in practically every human culture which presents a structured medical system, animals have been used as medicinal resources for the treatment and relieve of a wide variety of human health challenges (Costa-Neto, 2005). The use of animals for medicinal purposes is part of a body of traditional knowledge which is increasingly becoming more relevant to discussions on conservation biology, public health policies, sustainable management of natural resources, biological prospection, and patents (Alves and Rosa, 2005). There is a delicate interrelationship between the forest ecosystem, its inherent biodiversity and traditions culture of tribes. Indigenous people, their communities and other local communities have a vital role in environmental management and development because of their knowledge traditional practices. States should and recognize and support their identity, culture and interests and enable their effective participation in the achievement of sustainable development. The traditional knowledge and resource management practices of the indigenous people should be applied in modern development strategies. Hence the knowledge of medicinal or nutritive quality of all animal species becomes the need of the hour (Holennavar, 2015). There are only a few reports available from the region about the use of animals in traditional medicine. Reports on Naga traditional knowledge for the treatment and relieve of various health challenges using animals and animal derived products have been reported by workers such as Kakati and

Doulo (2002), Jamir and Lal (2005), Kakati, Bendang and Doulo (2006).

In the present study, an attempt has been made to document this vanishing knowledge of the traditional medicinal properties of animals commonly used by the Angami Nagas. Study of precise status of these animal species in the wild needs to be ascertained, followed by sustainable exploitation of the same for their traditional use in medicine. Traditional knowledge base is fast eroding with the younger generation and therefore strategic plans should be devised to preserve this knowledge for generations to come.

# Methods

The study was carried out in various villages of Kohima district. According to the 2011 census Kohima district has a population of 2, 67,988. For the purpose of this study, information was gathered from the Angami community. The Angamis are a major Naga ethnic group native to the state of Nagaland in North East India settled in Kohima district. Information were obtained through field survey by combination of semi-structured interview and open-ended interviews with selected people (informants) to collect information about traditional knowledge regarding use of animals and their products. The selection of informants was based on their recognisation as experts and knowledgeable members concerning folk medicine. The informants were asked to provide the local name of the animal used as remedy, parts used as medicine, conditions treated with the methods remedy, of preparation and administration, whether the animal parts were

administered singly or in combination with other ingredients, restrictions of use, adverse effects linked to the use, use of live or dead animals, how animals were obtained, storage conditions, collection sites, gear used to collect the animals, efficacy of the remedies, reliance on animal-based remedies. Informants were also asked the modes of preparation of remedies & how the medicine can be therapeutically efficient in terms of right ingredients and the proper dose. Vernacular names of species were recorded as cited during interviews.

#### **Result and Discussion**

Table 1 and 2 summarizes the scientific names of the medicinally used invertebrates and vertebrates, their vernacular names, the part(s) of the animal used, the diseases or ailments the animal derived medicines are thought to be effective for, and the ways the treatments are carried out.

In the present study, 45 species of animals were listed (15 invertebrates and 30 vertebrates), which are being used for treating about 38 ailments. These animals are used as whole or body part or by product like blood, urine, bones, organ, honey, skin ,quills, intestine, legs, etc., for the treatment of kind different of ailments including tuberculosis, malaria, asthma, jaundice, blood cancer, stomach disorder, whooping cough, rheumatism, typhoid, diabetics, ear ache, fractured bones, anaemia, etc. Out of the 45 recorded species, the use of mammals and highest, their parts was constituting about(40%), followed by arthropods (24%), aves (11%), reptiles (9%), annelids (5%), mollusk (5%), pisces (4%) and amphibians (2%). In similar studies carried out, mammals were also recorded the highest use as part of local folk medicines (Kakati, Ao and Doulo, 2006), (Negi and Palval, 2007), (Das, 2015), (Borah and Prasad, 2016), while in some cases largest numbers of medicinal species used in traditional practice was found to be reptiles followed by mammals (Alves et. al, 2010).

Local community's knowledge in the use of animal resources is very important for conservation efforts directed at protecting the wildlife. Folk medicine practitioners tend to have extensive knowledge of the ecology and use of the local flora and fauna. However, as manv local cultures are increasingly threatened, the need to document their knowledge of animals for medicinal and other uses becomes more urgent. Since, very little is known about the animal species being used for medicinal and to some extent, in rituals, it is important that such information be collected, collated and measures be taken to provide a framework for the conservation of the same. There are many rare and endangered animal species, which are being exploited, chiefly for their medicinal uses and at the same time, little are known to the outside world (Negi and Palval, 2007). Knowledge about animals that were used for remedial purposes in the past and are still used as such to the present day is part of traditional and ethnic medicine. The knowledge is relevant to science and human society. Its importance lies in fostering better understanding of this phenomenon from historical. economic. sociological. anthropological, and environmental viewpoints throughout bygone centuries (Lev, 2003). The knowledge on the use of different animals in traditional medicine by different ethnic communities is generally passed orally from one generation to another generation and this knowledge is sometimes lost with the death of the elderly knowledgeable person. Nowadays, traditional knowledge system is fast eroding due to urbanization. So, it is vital to study and document the ethnobiological information regarding the therapeutic use of different animals in traditional medicine among different ethnic communities before the traditional cultures are completely lost (Trivedi, 2002). The findings from the present study reveal a rich traditional knowledge of indigenous people of Kohima district. Nagaland regarding the use of animals and animal derived products used in traditional healthcare system. It is suggested that this kind of traditional knowledge on medicinal use of faunistic resources should be conserved and strategies should be devised to preserve and tap this rich knowledge in a more sustainable for benefit of mankind. way the

Sl.	Animal	Zoological	Commo	Vernacul	Part	Treated	Prescription	
no	Group	Name	n Name	ar Name	used	disease		
1	Annelida	Eisenia fetida	Redwor m	Doshü	Whol e body body whol e body e ye related problems.		Body is crushed and locally applied. Body is orally administered (raw red worm).	
2	Annelida	Hirudo medicinalis	Leech	Rüva		Blood purification	Leeches are used to suck impure blood from the body.	
3	Arthropod a	Myrmeleon immaculatu s	Ant lion	Puchürü	Whol e body	Wart, wounds, removes thorns from skin.	Body is freshly crushed and locally applied over infected area or wound. Helps removal of thorns from skin.	
4	Arthropod a	Apis indica Apis spp	Bee	Mepfhi	fhi Hone y Deep wound, ulcer, antidote for snake bite, cough.		Honey is locally applied over the wound, ulcer of mouth; honey is locally applied on surrounding area of snake bite. It is taken orally to cure cough.	
5	Arthropod a	Prionoxyst us Robiniae	Carpent er worm	Loungu	Whol e body	Rheumatism, muscle cramp, nerve problem.	Boil the worm and apply the water on body. Bathe with the water of worm. Cut open and locally apply.	
6	Arthropod a	Cancer pararus	Crab	Seguo	Whol e body	Urethritis, jaundice, cough, malaria, earache.	For urethritis -whole body is crushed, dissolved in water and orally administered. For malaria and cough- body is cooked and the extracted soup is orally administered For earache- body is freshly crushed and 2 drops of the extracted liquid is applied to the ear.	
7	Arthropod a	Scylla serrata	Black Seguo crab keteiu		Whol e body	Jaundice	Freshly crushed raw black crab juice is orally administered. Good for liver and cures jaundice	
8	Arthropod a	hropod <i>Pseudocant</i> ho termes		Shülhe	Whol e body	Asthma	Roast and orally administered. Protein rich source.	

Table	1:	List	of	invertebra	te	animals	and	animal	parts	medicinally	used,	their	vernacular
names	, th	e dis	ease	es or ailme	nts	and the	ways	the trea	tments	s are carried	out.		

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9	Arthropod a	Pediculus sp.	Wood louse	Lolu	Whol e body	Diabetes, bone TB	Boil and soup is orally administered.
10	Arthropod a	Achaeta	Cricket	Pfüteo	Whol e body	Dysentery	Body is roasted and orally administered.
11	Arthropod a	Mantis sp.	Mantis		Whol e body	Urinary disorder	Roasted mantis is orally administered.
12	Arthropod a	Simulium	Black fly larva	Sonhe	Whol e body	Body aches, nerve problem, rheumatism, improves eyesight.	Whole body is cooked and orally administered. Improve eyesight and strengthens weak body.
13	Arthropod a	Solenopsis geminata	Red ants	Tsorie	Whol e body	Anaemia	Whole body is orally administered. Iron rich source.
14	Mollusa	Pila globosa	Snail	Noula	Flesh	Asthma, tuberculosis, stomach disorder, eye problem, wounds and fractured bones.	Flesh is orally administered after cooking as a relief measure for asthma, stomach disorder, eye related problems, and tuberculosis. Rapid healing of wounds and fractured bones. Good for nerves.
15	Mollusca	Slug	Garden slug	Noula mhie	Whol e body		Slug is eaten for rapid healing of fractured bones

Table 2: List of vertebrate animals and animal parts medicinally used, their vernacular name	nes,
the diseases or ailments and the ways the treatments are carried out.	

Sl. no	Animal Group	Zoological Name	Commo n Name	Vernacul ar name	Part used	Treated disease	prescription
1	Pisces	Monopteru s albus	Eel	Tinyhü khuo	Bloo d, whol e body	Anaemia, asthma	Fresh blood is orally administered to cure general weakness and to relieve asthma and anaemia.
2	Pisces	Monopteru s cuchia	Eel	Tinyhü khuo	Bloo d, whol e body	Low blood pressure	Fresh blood is orally administered to cure asthma and anemia, mineral rich source.
3	Amphibia n	Limnonecte s limnochari	Frog	Gorünuo	Skin, flesh, whol	Skin burn, wound, tuberculosis	Skin of frog is applied on burn wounds for rapid healing of

		s, Rana tigrina.			e body		wounds. Flesh of frog is cooked and consumed for rapid healing of wounds. Whole body of frog, <i>Limnonectes</i> <i>limnocharis</i> is consumed (alive) to cure tuberculosis.
4	Reptiles	Mabuya sp.	Sand lizard	Teilei	Whol e body	Whooping cough	Roast the body with warm ash and orally administer.
5	Reptiles	Python reticulates	Python	Cienyhü.	Gall bladd er, fats	Wounds, rheumatism, body ache, fractured bones and sprained ankle	One grain size of dried gall bladder of python added to one cup of water is a powerful tonic, heals old wound. Fats of python is locally applied to relieve body ache, rheumatism, fats is massaged on fractured bones and sprained ankle for rapid healing.
6	Reptiles	Hydrophis sp.	Snake	Tinyhü, dzünyhü	Liver , fats	Diarrhea, dysentery, malaria, typhoid, wounds	A little portion of the liver is orally administered along with water. Fats are locally applied over the wounds.
7	Reptiles	Calotes sp.	Lizard	Sokru	Whol e body	Pneumonia	The body is roasted and orally administered.
8	Aves	Aquila sp.	Eagle	Eagle Kekrüra Featl rümou flesh		Wound, spleen enlargement, liver enlargement, herpes zoaster	Feather is locally applied over the wound, flesh is cooked and orally administered
9	Aves	Family picidae	Wood pecker	Siedou	Flesh	Gall bladder problems	Orally administered to treat gall bladder problems.
10	Aves	Upupa epops	Hoopoe	Kijüneru	Flesh	Gall stone	Flesh is cooked and orally administered.
11	Aves	Gallus sonnerati	Jungle fowl	Terhei	Flesh , feet claws	Cough	Roast the flesh and administer orally for breathing problems
12	Aves	Gallus sp.	Hen	Vütho, thevü	Gizz ard, gall bladd er	Stones in body, fever and cough	Dried gizzard is orally administered to remove stones in the body. Gall bladder is cooked

							and administered orally to treat fever
							and cough.
13	Mammal	Sus crisatus	Wild boar	Menyi	Flesh , gall bladd er	Stomach pain, cough	Flesh is cooked and orally administered.
14	Mammal	Gazelle bennettii	Antelop e Thelou		Bone marr ow	Nerve problems	Apply bone marrow locally on fractured bones, cure nerve problems.
15	Mammal	Selenarctos sp.	Bear	Thega	Gall bladd er , fats	Cough, asthma, malaria, fever, typhoid, stomach ache and fractured bones	Dried gall bladder is administered orally to cure cough, whooping cough, fever, typhoid, asthma, malaria, stomach ache and remove stones in the body. Apply fats locally on fractured bones for rapid healing
16	Mammal	Cervulus sp.	Barking deer	Chüzhie	Bone marr ow	Fractured bones	Massage the bone marrow over the fractured bones for rapid healing
17	Mammal	Maschus sp.	Musk deer	Chüzhie	Urine , foetu s, wom b, foetu s leg, hoof	Ear infection, ear ache, labour pain, dysentery, stomach ache	Urine is used as ear drops for healing ear infections. Foetus of deer is dried, boiled and the soup is orally administered to help with labour pain. Womb is orally administered to cure dysentery and nausea. Foetus leg is boiled and orally administered to help in childbirth. Foetus leg is also used to knock child's knees to make the child walk. Hoof scratched to make soup and orally administered
18 Mammal		Pteromys Flying sp. squirrel			Flesh , urine , intest ine,	Cough, constipation	Flesh is cooked and orally administered. Urine is orally administered for constipation. Intestine is eaten as an antidote for general poisoning.

19	Mammal	Entomias sp.	Chipmu nk	Liepruonu o	Whol e body, gall bladd er	Cough, tuberculosis, pneumonia, gastric pain	Flesh is cooked and orally administered
20	Mammal	Funambulu s sp.	Squirrel	Keli	Flesh	Cough	Flesh is cooked and administer orally
21	Mammal	Lutrinae sp.	Otter	Khuorha	Legs along with claws		Takes out fish bone lodged in throat. The claws are used to take out fishbone
22	Mammal	Canis familiaris	Dog	Tefü	Gall bladd er, flesh	Insomnia, tuberculosis, malaria, cough, jaundice, asthma	Flesh soup improves pre and post partum health. Gall bladder orally administered. Flesh is cooked and orally administered
23	Mammal	Macaca sp.	Monkey	Tepfi	Gall bladd er, flesh	Cough, stomach disorder, general weakness.	Flesh is cooked and administer orally
24	Mammal	Canis lupus	Wolf	Socie	Urine	Ear infection	Urine is used as ear drops
25	Mammal	Felix sp.	Wild rat	Terha zu	Flesh	Cough , asthma, stomach pain	Flesh is cooked and orally administered
26	Mammal	Canomys badius	Bamboo rat	Zuru	Whol e body	Diabetics, general weakness, eye problem	Flesh is cooked and orally administered. Improves eyesight, consuming it rejuvenates energy for old people
27	Mammal	Talpa	Mole rat	Thekha	Whol e body	Blood cancer	Body is cooked and orally administered
28	Mammal	Rattus sp.	Rat	Khoshü	Flesh	Epilepsy	Flesh is cooked and administered orally
29	Mammal	Myotis sp.	Bat		Flesh	Sleep enuresis/ urinary incontinence/ bed wetting.	Flesh is roasted and administered orally.
30	Mammal	Hystrix sp.	Porcupi ne	Chiekru	Quill / spine , Stom ach	Heart disease, wound, burnt skin, fever, gastric pain, malaria.	Quill of porcupine is used as anti tetanus and antiseptic. Roast/ burn the quills and the ash powder are mixed with water. This water is orally administered as an antiseptic and is used for curing heart

			disease. Quill/ spine of porcupine are roasted and ash powder is locally applied on wound, burnt skin and cures septic wounds and cuts. The dried and powdered stomach is boiled and orally administered for curing gastric pain, fever and malaria.
			fever and malaria.

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### LEAF LITTER DECOMPOSITION IN A SUBTROPICAL VEGETATION UNDER KOHIMA DISTRICT, NAGALAND, INDIA

Reviewed

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**Abstract:** Leaf litter decomposition was measured in Pulie Badze Wildlife Sanctuary and Jotsoma Community Forest under Kohima District to find out whether its rate differs among different vegetation segments. It was determined that canopy species composition significantly affects the rates of litter decomposition. Two trends of decomposition were recognized whereby, mixed forest stands exhibited higher rate of decomposition than those sites which are dominated by sclerophyllous species such as oaks and *Rhododendron arboreum*.

Key words: Leaf litter, sanctuary, community forest, canopy, sclerophyllous.

# Introduction

Litter decomposition represents one of the key biogeochemical processes in an ecosystem (Swift et al. 1979) to the extent that the nutrients released through litter decomposition accounts for 69-87% (Waring & Schlesinger 1985) and 70-90% (Vogt et al. 1986) of the total annual requirement of essential elements by forest plants. The proportion of nutrient accelerated release is by favourable environmental conditions due to enhancement of faunal and microbial activities (Swift et al. 1979). Disturbance in the form of moderate grazing treatment also enhances the rate of litter break- down (Shariff et al. 2012).

It is generally accepted that the quality of litter is the chief determinant for the rate of its decomposition under a given climate (Coûteaux *et al.* 1995; Aerts, 1997; Cadish & Giller, 1997; Dearden *et al.* 2006; Zhang *et al.* 2008) and between natural forests and plantations (Pandey *et al.* 2007).

In subtropical North East (N.E.) India, the rate of litter decomposition shows seasonality such that it is highest during the wet summer months and least in dry winter months (Devi & Yadava, 2006) and it is positively correlated to abiotic factors (Kumar *et al.* 2014), relative humidity and mean temperature (Pandey *et al.* 2007).

The rate is not constant during the course of litter break breakdown. In general, leaf litter exhibits a rapid initial loss leaving behind a mass that decomposes at a slower rate (Xu *et al.* 2004; Aponte *et al.* 2012). This trend is more pronounced in litter of deciduous species

than that of evergreen species (Aponte *et al.* 2012) and more in typhoon-generated litter than normally senesced leaf litter (Xu *et al.* 2004).

# **Materials and Methods**

The study area lies between 25° 35. 800' to 25° 40. 100' N latitudes and 94°01. 700' to 90° 05. 800' E longitudes, with an altitude range from 1600m m asl to about 2300m asl. Located on the South-West of Kohima town, it is contiguous with the second highest peak in Nagaland, namely Japfu, and phytogeographically falls within Eastern Himalayas. The study area consists of two physically contiguous sites namely Pulie Badze Wildlife Sanctuary (PWLS) and Jotsoma Community Forest (JCF). Both of these are protected areas and are separated by a physical fencing, collectively covering an area of 50 sq. km.

Leaf litter decomposition was determined using the litter bag technique described by Swift & Anderson (1989). Litter bags of mesh size 1.2 mm were sewn from window screen nylon mesh to 10x10cm size. Freshly fallen leaf litter were collected from the forest floor. During collection, the leaf litter of various species were mixed in the proportion that approximated species composition of the site from which the litter was collected. They were then air-dried in mesh bags and weighed. Each litter bag was filled with pre-weighed air-dried leaf litter ranging from 8 to 10 gm. At the same time, sub-samples of the collected leaf litter were oven dried to determine the difference between air-dried and oven-dried weight.

Nine litter bags with pre-weighed air-dried leaf litter were placed on the surface of the forest floor at twenty different locations spread over four forest stands. Thus altogether 180 litter bags were used. Each of the nine litter bags per site was retrieved in 2-monthly interval during the first 12 months and 4monthly interval during the remaining 12 months. The sampling interval was doubled during the second year partly because decomposition steadied to an almost constant rate following the first four months of observation (see figure A) and partly because sample collection was very restrictive due to difficult terrain and insurgency activity in the study area during the investigation. The 4monthly interval data was converted into a 2monthly representation by working out the average percentage loss in mass from the 13<sup>th</sup> to 24<sup>th</sup> month.

Thus, litter decomposition was measured as two-monthly rate and four-monthly rate. Hence, each of the twenty sites was visited nine times during the 24-month period, each time a litter bag was retrieved. In the laboratory, the litter bags were cleaned from the outside with a brush and water and the content was oven dried to constant weight at 105°C and its weight was recorded. The oven-dried weight thus obtained was subtracted from the initial weight (oven dried weight), and the remaining mass was recorded.

Sampling sites were decided through visual inspection of dominant canopy tree species composition and local topography assuming that these factors would influence litter decomposition. Hence the sampling was carried out on four categories of forest stands namely, JCF-North western slopes (JCF-NW), JCF-Hillock/Ridges (JCF-R), JCF-Mixed vegetation

Α 45 JCF-R JCF-NW 40 35 JCF-M **PWLS** 30 % MASS LOSS 25 20 15 10 5 0 NOL OF AN. F. 10, 0t. 10, 400 25,0Cr 10, 40G Sto. Sto. ANER NAL UN NDT - TON N74, 704 (JCF-M) and PWLS. Leaf litter decomposition was assessed for 24 months commencing from May 2013 and culminated in April 2015.

### Results

In general, leaf litter decomposition exhibited a rapid initial rate for all sampling sites during the first four months (May-August), which decreased and remained roughly constant during the rest of the assessment period (20)months). This decomposition behaviour gives a reverse Jshaped curve (figure A) for all forest stands. Nonetheless, by the degree of steepness of the curves, two different trends of leaf litter decomposition emerged from amongst the four stands. The first trend, comprising JCF-R and JCF-NW (Blue and Red lines in figure A and B) was distinguished by slower rate of decomposition where the initial rate ranged from 16.64-20.96% mass loss which levelled off after the fourth month to a steady rate of about 5% mass loss against the interval of time specified in the methodology till the 24<sup>th</sup> month (figure A). In terms of remaining mass (figure B), >30% of the initial litter mass remained undecomposed at the end of the 24<sup>th</sup> month. Conversely, the second trend represented by JCF-M and PWLS (green and purple lines in figure A and B) showed a comparatively higher initial rate of decomposition, and thus steeper curves, with an initial rate of up to 43% mass loss, while <10% mass remained after the 24<sup>th</sup> month. Details of litter decomposition at the four forest stands are presented in table 1.



Figure A: Rate (in %) of leaf litter decomposition in two-monthly duration (May	2013 to April 2015)
<b>B</b> : Percent of remaining mass of leaf litter during the same time intervals.	

 
 Table 1: Litter decomposition during 2013-2015 in four forest stands with sampling site
 numbers, namely JCF-R (1-4), JCF-NW (5-10), JCF-M (11-14) and PWLS (15-20). \* samples damaged or lost in the field. Veg. Stand % Final weight (gm) for 2-month intervals Initial mass remaining weight (gm) 2013 2015 2014 Sites May Jul Mar Nov Sep Nov Jan May Jul Sep Jan Mar Air Oven to to to to to То to to to to to То dried dried Jun Aug Oct Dec Feb Apr Jun Aug Oct Dec Feb Apr 11.03 9.76 8.29 84.93 8.64 13.55 11.99 72.05 11.27 9.97 6.74 67.58 13.72 12.14 7.90 65.80 6.37 9.76 10.69 65.26 11.80 10.44 6.22 59.56 1 10.00 8.85 5.12 57.85 10.00 8.85 5.08 57.40 10.00 8.85 5.04 56.95 10.00 8.85 5.00 56.50 10.00 8.85 4.70 53.11 4.40 10.00 8.85 49.72 10.00 8.50 7.31 86.00 73.06 10.00 8.50 6.21 10.00 8.50 6.02 70.82 **JCF-R** 10.00 8.50 5.90 70.00 10.00 8.50 64.47 5.48 10.00 8.50 5.32 62.59 2 10.00 8.50 4.43 52.06 10.00 8.50 4.15 48.82 10.00 45.59 8.50 3.88 10.00 8.50 42.35 3.60 10.00 8.50 3.40 40.00 10.00 8.50 37.65 3.20 10.00 8.85 7.67 86.67 10.00 8.85 6.52 73.67 10.00 8.85 68.70 6.08 10.00 8.85 6.00 67.80 3 10.00 8.85 5.95 67.23 10.00 8.85 5.92 66.89 8.85 10.00 5.55 62.71 10.00 8.85 5.00 56.50 10.00 8.85 4.45 50.28

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		10.00	8.85												3.25	36.72
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		10.00	8.85								4.05					45.76
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		11.38	10.07									4.30				42.70
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		10.00	8.85												2.65	29.94
		14.72	13.03	8.22												63.10
		12.53	11.09		6.96											62.76
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		16.16	14.30						6.53							45.66

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	10.00	8.85												3.30	37.29
	10.00	8.85	6.83												77.18
	10.00	8.85		6.24											70.51
	10.00	8.85			5.86										66.21
10	10.00	8.85				5.30									59.89
10	10.00	8.85					5.15								58.19
	10.00	8.85						4.78							54.01
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		10.00	8.85									3.15				35.54
		10.00	8.85										2.90			33.45
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		10.00	8.75	5.69												65.03
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		20.00	16.00			6.17										38.56
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J		10.00	8.50										1.08			12.71
		10.00	8.50											0.94		11.06
		10.00	8.50												0.80	9.41
		10.00	8.50	4.59												54.00
		10.00	8.50		2.75											32.35
		10.00	8.50			2.24										26.35
		10.00	8.50				2.14									25.18
		10.00	8.50					2.04								24.00
	13	10.00	8.50						1.86							21.88
	15	10.00	8.50							1.75						20.53
		10.00	8.50								1.69					19.88
		10.00	8.50									1.64				19.24
		10.00	8.50										1.58			18.59
		10.00	8.50											1.24		14.59
		10.00	8.50												0.90	10.59
		10.00	7.50	4.68												62.40
	14	10.00	7.50		2.55											34.00
	1.4	10.00	7.50			2.34										31.20
		10.00	7.50				1.85									24.67

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		10.00	7.50					1.22								16.27
		10.00	7.50						1.04							13.87
		10.00	7.50							0.96						12.73
		10.00	7.50								0.72					9.60
		10.00	7.50									0.49				6.47
		10.00	7.50										0.25			3.33
		10.00	7.50											0.23		3.00
		10.00	7.50												0.20	2.67
		10.90	8.50	4.02												47.29
		13.27	12.56		4.58											36.46
		11.87	10.60			3.55										33.49
		14.42	13.23				3.87									29.25
		10.00	8.50					2.53								29.76
	15	10.00	8.50						2.24	1.0.5						26.35
		10.00	8.40							1.96	1.02					23.33
		10.00	8.40								1.93	2.12				22.98
		12.04	11.00									2.15	2 22			19.32
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VL		10.00	8.50						2.86							33.65
Ń		10.00	8.50							2.90						34.12
		10.00	8.50								2.59					30.47
		10.00	8.50									2.28				26.82
		10.00	8.50										1.97			23.18
		10.00	8.50											1.59		18.65
		10.00	8.50												1.20	14.12
		10.00	7.50	4.37												58.27
		10.00	7.50		4.10											54.67
		10.00	7.50			3.25										43.33
		10.00	7.50				1.47									19.60
		10.00	7.50					1.13								15.07
	17	10.00	7.50						1.13							15.07
		10.00	7.50							1.12						14.93
		10.00	7.50								0.90					12.00
		10.00	7.50									0.68				9.07
		10.00	7.50										0.46			6.13
		10.00	7.50											0.33		4.40

	10.00	7.50												0.20	2.67
	10.00	7.50	5.00												66.67
	10.00	7.50		4.15											55.33
	10.00	7.50			2.83										37.73
	10.00	7.50				2.74									36.53
	10.00	7.50					1.86								24.80
10	10.00	7.50						1.28							17.07
18	10.00	7.50							1.25						16.67
	10.00	7.50								1.12					14.93
	10.00	7.50									0.99				13.20
	10.00	7.50										0.86			11.47
	10.00	7.50											0.68		9.07
	10.00	7.50												0.50	6.67
	10.00	8.75	4.81												54.97
	10.00	8.75		3.70											42.29
	11.97	10.20			4.10										40.20
	10.42	8.65				3.40									39.31
	8.99	7.90					3.05								38.61
10	10.00	8.75						2.56							29.26
19	10.17	8.78							2.01						22.89
	10.17	8.78								1.84					20.96
	11.10	0.00									*				
	11.10	0.00										*			
	10.79	0.00											*		
	10.79	0.00												*	
	10.00	8.50	5.05												59.41
	10.00	8.50		3.20											37.65
	10.00	8.50			3.10										36.47
	10.00	8.50				2.73									32.12
	10.00	8.50					2.53								29.76
20	10.00	8.50						1.79							21.06
20	10.00	8.50							1.43						16.76
	10.00	8.50								1.41					16.59
	10.00	8.50									1.40				16.41
	10.00	8.50										1.38			16.24
	10.00	8.50											1.24		14.59
	10.00	8.85												1.10	12.43

### Discussion

This finding in this work is in agreement with previous works in the N.E. India where leaf litter decomposition was correlated to seasonal temperature, rainfall and relative humidity. Specifically, warm, moist and humid conditions are known to promote rapid rate of decomposition (Devi & Yadava 2006; Kumar *et al.* 2014; Pandey *et al.* 2007).

Further, the initial rate (the first four months) was significantly more rapid than the subsequent period. The differential rate during the course of decomposition observed here is similar to earlier observations (Xu *et al.* 2004; Aponte *et al.* 2012) which is attributable to leaching of readily soluble chemical constituents of leaf litter (Xu *et al.* 2004).

The high initial rate observed in this study might also have been accelerated by the season commencement of decomposition for measurement i.e. during moist and warm months which, along with nutrient availability, is associated with higher rate of decomposition (Jorgensen et al. 1975) as these factors encourage greater activity of decomposers (Swift et al. 1979). In addition, the leaf litter samples were mostly wind-generated, being collected after the windy season (April). Typhoon-generated leaves are known to break down at a faster rate as compared to naturally senesced leaves because of their immature state with respect to its anatomy and initial chemical concentrations (Schlesinger 1985; Gallardo & Merino 1993). Therefore, a combination of the above factors has likely contributed to the observed rapid initial rate of decomposition.

The two trends of litter breakdown in the study area may be attributed to difference in species canopy composition and local topography. JCF-R comprises ridges on hillocks, where the dominant species is Rhododendron arboreum and others including Ternstroemia gymnanthera, Lyonia ovalifolia, Schima wallichii and Exbucklandia populanea. JCF-NW is dominated by oaks, particularly Lithocarpus recurvatus and Quercus lamellosa. In general, the dominant canopy species in these two stands, which comprise one decomposition trend, are evergreen and have sclerophyllous leaves. In contrast, JCF-M and PWLS, which comprise the second trend, are dominated by non-sclerophyllous canopy species comprising Sloanea sterculiaceae, Elaeocarpus lanceifolius, Nyssa javanica, Acer sterculiacea and Reevesia wallichii. That the chief determining factor for differential rates of decomposition is the difference in canopy species composition is affirmed by earlier works (Perez-Harguindeguy *et al.* 2000; Hättenschwiler, 2005).

# Conclusion

This work reports that, there are two trends of litter decomposition in the study area, and that this observed difference is a function of the difference in composition of tree canopy species. The influence of local topography on this phenomenon is indirect insofar as it, amongst other factors, determines tree species composition.

# Acknowledgement:

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### INTERROGATIVES OF CHANG (NAGA) LANGUAGE (A COMPARATIVE STUDY)

Reviewed

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**Abstract:** There are 16 tribes of indigenous Naga Tribes in Nagaland. Nagas are defined by the diversity of languages; each tribe has their own language. The total population of Nagaland, according to 2011 census is 19, 80,602. There are 11 districts and each district administration was headed by a Deputy Commissioner of the district. Tuensang district is the home of Chang tribe. The language spoken by the Chang tribe is called Chang language.

Key Words: Wh-questions and Confirmation seeking questions.

### Introduction

The data is collected from both primary and secondary. The primary data is collected from the native speaker of Konya village under Tuensang District. The informants were well educated, Shri Mosha is a social worker who is fully dedicated to the welfare of the society and Shri Chullen is a Government servant. At present, the Chang language is developing and the grammatical development is growing very fast because of many dedicated writers. This write up will be beneficial for the development of Chang language grammar for the upcoming researcher(s).

# Wh-Questions were as follows:

1.	no	ao	nyenkei
:	пэ	лЭ	пјлпклі
:	your	who	name
٢V	<b>Vhat</b> i	is you	r name?'

Ans.ngeibünyenJohn:ηλībənjλīndʒon:mynamejohn'My name is John.'

2.		waiyet	ka	ai	lotkei
	:	wлijet	kл	л́і	lətkлi
	:	window	through	what	came
۴W	Vh	at came i	in through	h the w	indow?'

Ans. au waiyet ka lotkei

: *ли wлijet kл lɔtkлi* : bird window through came 'The bird came in through the window.'

3.	. nyi	ai	hapbei
	: nji	л́і	һлрҌлĩ
	: you	ı what	see
	ŵ	hat did vo	u see?'
Α	ns.	ngei <b>au</b>	haphei
	•	плі ли	haphai
		I bird	saw
	• • • • • • • • • • • • • • • • • • • •	saw a hir	d'
4	no	lanei kia	49
<b></b> .	nu 1	unsi kid	
:		where liv	
•	you (XX/I	home de vie	
	· vv I	here do yo	
AI	ns.	ngo <b>kon</b>	$\frac{1}{1}$ $\frac{1}{2}$ $\frac{1}$
	:	ทว หวกเ	$m_{\Lambda} - \Lambda K i d \Lambda$
	:	I ko	hima in live
	ίI	live in Kol	hima.'
5.	no	chemto	<b>aijiha</b> ngaila
:	пи	t∫ʌ̄mtɔ	лістіл улііл
:	you	home	when going
	<b>'Wh</b>	e <b>n</b> are you	going home?'( time )
Aı	ns. ng	o chemto	u <b>chasem jih süma</b> gailabü
	: n:	$t \int \frac{d}{dt} dt$	$t f_{ASA} m d x i ? s = m \overline{\Lambda} n \lambda i l A b =$
	: I	home ev	vening time three going back
	L	am going	home at three o'clock' (time)
	1	ann gonng	nome at three o clock (time)
6.	no	chemto	laochalou-a ngaila
	nu	tlamto	lastalu-a naila
:	VOU	home	when (day) going
·	you ( <b>11</b> 71-		when (day) going
	vv n	en are you	going nome? (day)

Ans. ngo chemto chapün ngailabü

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ISSN 2348-0637 : ŋɔ tʃemtɔ tſʌpəŋ ŋʌilʌbə home Sunday going back : I 'I am going home on Monday' 7. no delhi tou **aila** hauda nu delhi tou лilл hлиdл : you delhi to why going 'Why are you going to Delhi?' Ans. ngo delhi tou lewala shanga hauda : ŋɔ delhi təu lewala sana hлиdл delhi to study for : I going 'I am going to Delhi for my study.' 8. hao ao yingkei : has as jiŋkai : he who CASE **'Who** is he?' Ans. hao John yingkei :  $h_{\Lambda 2}$   $d_{x 2}$  njinksi : he john CASE 'He is John.' **9.** sao **ao** yingkei jiŋkлi : SAO AO : she who CASE 'Whois she?' yingkei Ans. sao Mary jiŋkлi : *SA*Э телі CASE : she mary 'She is Mary.' **10.** haosi yingkei ao : hasi jiŋkʌi ΛЭ who CASE : they(two) **'Who** are they?' **11.** haoen yingkei ao jiŋkлi : haswen ΛЭ : they (plural) who CASE 'Who are they?' **12.** no ailai ki nə *sils*i ki you how CASE : 'How are you?' Ans. ngo maisho kia  $: \eta j m_{\Lambda i} / j$ kiл : I fine have

'I am fine.'

13. nyi ho ailai kümbei : nji hə *лil*лi клтьлі : you this how make 'How did you make this?' Ans. ngei ho küthangbouei thuiyuko kümbei hə kəthлŋbuлi thuijuko : ŋлi клтЬлі : I this my friend help made 'I made this with the help of my friend.' 14. nyi lading / latütbü hapbei : nji ladin / latətbə һлрbлі : you how much get **'How** much did you get?' (quantity) Ans. ngei hajuche hapbei : n<sub>i</sub> h<sub>i</sub>dzutfe һлрbлі : I this much got 'I've got this much." lajuche **15.** nyi hapbei lAdzut/e һлрbлі : nji : you how many got 'How much did you get?' (number) Ans. ngei pümnyi hapbei : ŋi pəmnji һлрbлі : I two got 'I got two.' 16. lam lalokche : lam laloktfe : way how long / far 'How long/far is the way?' 17. kaibü jaisina laobou : клівә азлізіпл Ілэвли : your brother which **'Which** one is your brother?' Ans. nashoubou lounshangbou kho ngeibü jaisina yingkei : *n*<sub>1</sub>/*jubu* lunſʌŋbu khə nibə

dzлisinл jiŋkлi : young taller this mine brother CASE 'The taller boy / one is my brother.'

18. kaibü nousina <b>laobou</b>
: kлibə nəusinл lлəbли
: you rsister which
<b>Which</b> one is your sister?'
<b>19.</b> kaibü pen pümnyi kho <b>laobou</b>
: kлibə pen pəmnji khə lлəbли
: your pen two among which
Which two were your pen?'
Ans: pen pümnyi kho ngeibü : <i>pen pəmnji khɔ ŋʌibə</i> : pen two these mine ' These two were my pen.'
<b>20.</b> kaibü pen shong <b>laobou</b>
: knibə pen (əŋ laəbau
: your pen plural mkr which
'Which were your pen?'
Ans: khübü kho ngeibü pen
: khəbə khə ŋлibə pen
: these are my pen
'These are my pen.'
<b>21. Iai</b> lamnyu ho tuktebu
: LAI LAMNJU NO LAKTEDO
: Which way this right
which way is the right way (Direction)
Ans: ho lamnyu ho tiiktehii
$\cdot$ ho laminyu no tuktebo
this way this right
'This is the right way '
B. Yes / No questions:
1. no kün yoa loudala <b>o</b>
: пи клп јэл ІлидлІлэ
: you us with coming
'Are you coming with us?'
2. no delhi tou haula <b>o</b>

- : nu delhi tʌu hʌulʌɔ
  : you delhi to been 'Have you been to Delhi?'
  3. nyi kainong jeklao : nji kʌnlɔŋ dʒeklʌɔ
  - : you vehicle buy
  - 'Did you buy a car?'

# C. Questions seeking affirmation:

- 4. nyi kainong jeklao
  - : пјі кліпэр азекlлэ
  - : you vehicle buy

'Did you buy a car?' ( I heard that you bought a car?).

- 5. no miet lamthena yolao
- : nu met lʌmthenʌ jəlʌə
- : you yesterday function attend

'Did you attend the function yesterday?' ( I heard that you attended the function yesterday?)

### **D.** Tag questions:

6. ngo lewala haumang asüda. Kheiyinglao
: ηɔ lewʌA hʌumʌŋ ʌsədʌ khʌijiŋlʌɔ
: I study to-go don't like. It isn't 'I don't like to go to school. Do I?'
7. no wethunashou maibü. No

kheiyinglao

: пэ wethunлʃəu тліbə nu khлijiŋlлə

: you studentyoung good. You aren't

'You are a good student. Aren't you?'

- 8. john loulabü. Kheiyingkaoba
  - :  $d_{3}$  on  $l_{3}$  loulabe khaijinkaba
  - : john will come. Isn't It
  - 'John will come. Isn't it?'

# E. Alternate questions:

- 9. john loua si alou
  - : стэп Іэил si лІли
  - : john come or not come
  - 'Did John come or not?'

**Conclusion** : Chang language has 13 Whquestion words but there is no question marker of both Wh-questions as well as Confirmation seeking questions.

Wh-question words are :

1. ai / <i>ʌi</i> /	: What
2. lanei / <i>lʌnʌi</i> /	: Where
3. aijiha /ліфіл/	: When (time)
4. laochalou-a /lʌətʃʌlu-ʌ/	: When (day)
5. aila / <i>ʌilʌ</i> /	: Why
6. ao / <i>ʌɔ</i> /	: Who (any
person, name of any person)	•

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7. ailai / <i>ʌilʌi</i> /	: How
8. latütbü /lʌtətbə/	: how much
(quantity)	
9. lading /lʌdiŋ/	: how much
(quantity)	
10. lajuche /lʌdʒutʃe/	: how many
(number)	
11. lalokche /lʌləktʃe/	: how long
(length, duration)	
12. laobou /lʌɔbɔu/	: Which
13. lai / <i>lʌi/</i>	: which
(direction)	

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### COUNTING STATISTICAL ANALYSIS AND ESTIMATION OF EFFICIENCY OF GM COUNTER USING STANDARD RADIOACTIVE SOURCES

Reviewed

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**Abstract:** Geiger Müller counter is a tube which uses ionizing particles to detect radiations such as alpha particles, beta particles and gamma rays. It was developed by J.W. Geiger and his student W. Müller in 1928.<sup>[1]</sup> The efficiency of GM counter is provided by the manufacturer at the time of procurement. The user has to confirm the counting system responds properly to the radioactivity. The counting efficiency is a one of the major parameter to check the condition of detector. In this paper, we present the efficiency validation of three GM counters procured in our laboratory.

Keywords: GM Counter, efficiency, radiations.

# Introduction

# **GM** counter

GM counter is a tube which uses ionizing particles to detect radiations such as alpha particles, beta particles and gamma rays. It was developed by J.W. Geiger in1908. He later came up with an advanced version of the tube with his student W. Müller in 1928 and named as Geiger-Müller counter or GM counter (Tayal, 2008).

# Construction

The GM counter consists of a hollow metal tube of cylindrical shape of radius 2 to 3cm and a thin tungsten wire which is stretched along the axis of the cylinder of diameter 0.1mm. The cylindrical surface serves as a cathode and the tungsten wire serves as an anode (Figure 1.1). The cylindrical tube is filled with inert gas neon with trace of a halogen gas like bromine, which is the quenching gas. The two gases are mixed in a ratio 10:1. The total pressure is less than 10cm and the potential difference between the cathode and the anode around ~300 to 400 volts (Ghoshal, 2015).

In some counters argon is mixed with vapour of some compound like ethyl alcohol as the quenching gas. The detector tube has a thin radiation permeable window at one end which is made of mica. This mica prevents the gases inside from escaping and also stops the air from getting into the tube (Ghoshal, 2015). The schematic diagram of GM counter is shown in figure 1.1.

# Working of GM counter

When radiations (i.e. from a radioactive source) enter the GM tube through the mica window, it comes in contact with the gas molecules and ionization takes place. Due to this ionization, the gas molecule splits into ions (which are positively charged) and electrons (which are negatively charged). The positive ions are attracted to the cylindrical surface of the tube and the electrons are attracted to the central metal wire of the tube which is maintained at a high positive voltage. Since the electric field between the cathode and the anode is very high (>300volts) the charges will further ionize more number of atoms thereby setting up an avalanche of ions and electrons in the tube (shown in figure 1.2). These large numbers of ionizations sets up a discharge current also called as Geiger discharge, it is where the current causes the voltage between the anode and cathode to drop which the counter detects as signal (Ghoshal, 2015).

Before the counter can detect any more radiations, it needs to be restored to its original state through a process called quenching, which cancels out the effects of Geiger discharge. This is achieved by having a second gas i.e. halogens (quenching gas) inside the tube. This is known as internal quenching. Due to this the ions and electrons are quickly absorbed among billions of gas molecules in the tube so the counter effectively resets itself in a fraction of a second, ready to detect most radiations (Ghoshal, 2015).



Figure 1.1: The schematic diagram of a GM counte

#### **Characteristic of Plateau curve**

The graph between the counts and the voltage which is obtained as a result of the rate of the recorded count as a function of the voltage is called as the characteristic curve for the counter (Ghoshal, 2015). The counter is connected with a device capable of indicating only relatively large pulses but not small ones. So, until the voltage exceeds a certain minimum value known as the threshold voltage or the starting potential, the pulses are called small and do not get detected. When the voltage is low, there is no gas amplification and the electronic circuit will not be able to record and count these pulses. As the voltage is increased, the gas amplification increases and number of pulses rise rapidly to a flat portion of the curve called the plateau region In this region the count rate is nearly independent of the potential difference across the tube. Beyond the plateau, the applied voltage is so high that a continuous discharge takes place in the tube and the count rate increases very rapidly (Tayal, 2008).

Length of plateau =  $V_2 - V_1$ 

Slope of the plateau =  $\frac{N_2 - N_1}{N_1} \times \frac{100}{V_2 - V_1} \times 100\%$ 

# **Statistical Analysis**

Systematic errors control the accuracy of a measurement. Thus, if the systematic errors are

small, or if one can mathematically correct them, then one can obtain an accurate estimate of the "true" value. The precision of the experiment, on the other hand, is related to random errors. The precision of a measurement is directly related to the uncertainty in the measurement.

Random errors are the statistical fluctuations during a measurement. If these values are too close to each other, then the random errors are small. But, if the values are not too close, then random errors are large. Thus, random errors are related to the reproducibility of a measurement.

**Mean:** It is the average value of a set of (n) measurements in an experiment. Mathematically it is defined as

$$\overline{N} = \frac{N_1 + N_2 + N_3 + \dots + N_r}{n}$$
$$= \frac{1}{n} \sum_{i=1}^n N_i$$

**Deviation:** It is the difference between the actual measured values and the average value. Deviation from the mean,  $d_i$  is simply the difference between any data point  $N_i$ , and the mean. We define this by  $d_i = N_i - \overline{N}$ 

In some cases the value of the error or the deviation may become zero because, we may have both positive and negative values which get cancelled. Yet an average value of the error will be desirable, since it tells us how good the data is in a quantitative way. Therefore we need a different way to obtain the measure of the scatter of the data i.e. by Variance ( $\sigma^2$ ) and Standard deviation ( $\sigma$ ).

$$\sigma^{2} = \frac{d_{1}^{2} + d_{2}^{2} + \dots + d_{n}^{2}}{(n-1)} = \frac{1}{(n-1)} \sum_{i=1}^{n} d_{i}^{2}$$

Standard deviation is a square root of the variance, which is widely used to indicate about the spread of our data.

 $\sigma = \sqrt{(\sigma^2)}.$ 

# Methodology

### For measuring background radiation

The GM detector registers pulses even when not exposed to radioactive sources. These pulses are caused by natural and man-made radioactive isotopes found in our environment, and also by cosmic radiation. The background radiation varies with time and depends on the local environment, the building material, shielding and the weather. Hence the background count rates (counts per second) are recorded before and after carrying out measurements.

**A.** To study the background radiations in the laboratory the following procedure is adopted.

The following steps were taken for the experiment:

- GM counter system GC 602A is connected with detector without any radiation source and detector is placed in optical bench.
- System setting the preset time to 10sec and 100 observations were taken.

The graph between observations and count per second and the frequency distribution for 100 observations is shown in fig 2.1 and fig 2.2.

For another set, preset time was selected 100 seconds and 10 observations were taken and their graph is shown in fig 2.2.

**B.** To show that for high number of counts Poisson distribution follows closely normal or Gaussian distribution.

The following steps were taken for the experiment:

- Standard set up was made by connecting G.M. Counting System GC 602A with the detector placed in the optical bench.
- Beta source is placed at 2cm from the end window of the detector.
- Preset time is set to 25sec and 50 readings were recorded.
- Mean, deviation and standard deviation were calculated with the recorded counts.

### **Plateau Characteristics**

To study the characteristics of plateau curve, the following equipments and accessories were used:

- a) Electronic unit i.e. the G.M. Counters
- b) G.M. Detectors
- c) G.M. detector holder, sliding optical bench and source holder
- d) Radioactive source

We have 3 G.M. counters out of which one counter is GC601A model and the other two are GC602A model. GC601A type is an economy model. The other two GM counters are GC 602A which are research model. The three counters are shown below in figure 2.1. GC 602A is chosen for this study since it is an advanced system for research works.

In this study, we have used three radioactive source, namely Strontium  $(Sr^{90})$ , Thallium  $(Tl^{204})$  and Cesium  $(Cs^{137})$ . Strontium and Thallium are beta radioactive source and Cesium is a gamma radioactive source. We used three different G.M. detectors namely GM125, GM120 and GM110. The GM detectors used for this study are shown below in fig 2.2 and experimental setup in fig 2.3. To choose the most appropriate detector for this study, the efficiency of all the detectors were found and are given in table3.2.



**Figure 2.1: Different models of counters** 



# **G.M.** Detectors

**Figure 2.2: Different types of detectors** 



Figure 2.3: Schematic arrangement for experimental setup

#### Results

### Statistical analysis results

The graphs of the measurements of the background radiations and the statistical analysis are shown below-

**A.** The results for the measurements of the background radiations .

The graph of the no. counts in 10 sec for 100 readings is shown in fig 3.1.



The frequency distribution for 100 observations is shown in fig 3.2.



Figure 3.2: Frequency distribution for 100 readings with 10s

The graph of the no. of counts in 100 sec for 10 readings is shown in fig 3.3.



Figure 3.3: Plot of no. of counts in 100 sec for 10 readings

The statistical parameters for the above measurements are found as follows:

Mean Value	$: \overline{N} = 8.7 = 9$
Variance	$: \sigma^2 = 9.1$
Standard Deviation	$: \sigma = 3.01$

From the above results we see that it follow a Poisson distribution on which practically all radioactivity measurements are based. The results show that the mean value N is equal to the variance  $\sigma^2$ ; this is characteristic of the Poisson distribution. The variance in any measured number of counts is therefore equal to the mean value of counts.

The square root of variance, the standard deviation is a measure of the scatter of individual counts around the mean value. As a thumb rule we say that approximately 2/3 of the results are within one standard deviation of the mean value i.e., within the interval [(N- $\sigma$ ) and (N+ $\sigma$ ), where  $\sigma = \sqrt{N}$ ].

Conversely, given the result from an individual measurement, the unknown 'true'

count lies within the interval  $[-\sqrt{N}]$  and  $N + \sqrt{N}$  with a probability of approximately 2/3.

The measured results of mean, variance and standard deviation follow Poisson distribution. Results show that the mean value (N) is almost equal to the variance ( $\sigma^2$ ) which is characteristic of the Poisson distribution.

We found that mean value of count is equal to variance, which agrees with the theory.

**B.** The results of the statistical analysis.

The average count rate for 50 independent measurements is

Mean, 
$$\overline{N} = 3904.8$$

The deviation of an individual count from the mean is  $(N_i - \overline{\overline{N}})$ .

The Standard Deviation,  $\sigma = \sqrt{\overline{N}} = 62.5$ 

The graph is shown below



The scilab program for plotting the fig 3.4 is shown below-

```
//Gausian Data Generation for a set of data
clc;clear;clf;
m=0:
sd=0.94760708295;
vari=sd^2;
X=[-2,-1.5,-1.5,-1.5,-1.5,-1,-1,-1,-1,-1,-0.5,-
0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0.5, -0
,0.5,1,1,1,1,1,1,1,5,1.5,1.5,2,2];
histplot(9,X,style=3);
x=linspace(-3,3);
G=(1/(sqrt(2*\% pi*vari)))*exp(-0.5*(x-
m).^{2}/vari);
plot2d(x,G,strf="000",style=5);
<u>mtlb_axis([-3,3,0,0.7])</u>
xlabel('rounded
values',"fontsize",3,"fontname",3);
ylabel('frequency of
occurence', "fontsize", 3, "fontname", 3);
```

<u>title</u>('Gaussian Distributed data from Statistical Analysis',"fontsize",4,"fontname",5);

legend(["Rounded off data","exact density curve"],2,%F)

To fit the Gaussian curve we calculated the mean, variance and standard deviation from the rounded off values. Mean = 0

Standard deviation = 0.94760708295

Variance = 0.89795918365

We found from figure 3.4 that the distribution is symmetric about the mean value. Since the mean value is large, the adjacent values of the function are not greatly different from each other i.e. the distribution is slowly varying which is the expected behavior of a normal distribution.

# **Plateau characteristics results**

Plateau curves of the 3 sources with the different detectors with counter GC602A is shown below-



Figure 3.6: Plateau curve of Strontium  $(Sr^{90})$ , Thallium  $(Tl^{204})$  and Cesium  $(Cs^{137})$ , with GM125



Figure 3.10: Plateau curve of Strontium (Sr<sup>90</sup>), Thallium (Tl<sup>204</sup>) and Cesium (Cs<sup>137</sup>) with GM120



Figure 3.13: Plateau curve of Strontium (Sr<sup>90</sup>), Thallium (Tl<sup>204</sup>) and Cesium (Cs<sup>137</sup>) with GM110

The calculated operating voltage for the three detectors GM125, GM120 and GM110 with the three different radioactive sources is shown in table 3.1.

Detector	GM125	GM120	GM110
Source	(in volt)	(in volt)	(in volt)
Strontium (Sr <sup>90</sup> )	510	450	570
Thallium (Tl <sup>204</sup> )	495	450	585
Cesium (Cs <sup>137</sup> )	495	450	585

//

**Table 3.1:** 

Operating voltages of the three sources with the three detectors

The efficiency of three detectors obtained with the three different sources are shown in table 3.2.

Detector	GM125	GM120	GM110
Strontium (Sr <sup>90</sup> )	4.68%	0.78%	1.75%
Thallium (Tl <sup>204</sup> )	1.46%	2.41%	0.54%
Cesium (Cs <sup>137</sup> )	0.013%	0.97%	1.22%

### Table 3.2: Efficiency of three source with three detectors

### Conclusions

The present study shows that the efficiency of GM125 has the highest efficiency of 4.68% with source <sup>90</sup>Sr with fixed distance from seal sources at 6 cm. The overall efficiency found to be much lower than quoted value by manufacturer. The estimation of efficiency depends on many factors such as type, energy and strength of ionizing radiation, distance of source from detector, counting time applied voltages etc. For better, results one needs to study more in detail by vary these parameters which we would like to do in future.

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Reviewed

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**Abstract:** The process of urbanization with the increase of population and infrastructural development have created a phenomenon known as urban heat island. This phenomenon has led the land surface temperature to increase owing to anthropogenic interference. The urban heat island in the present study has been estimated based on remote sensing technique, using Landsat 8 satellite imageries. The parameters considered for this estimation consisted of settlement, vegetation, water bodies and bare soil. Further, a comparison of this phenomenon has been done in urban centres of the study area. The areas under settlement and bare soil showed higher land temperature than the other parameters. Lower density settlement has shown lesser temperature compared to its counterpart.

Keywords: Landsat8, Land surface temperature, NDVI, Urban heat island

# Introduction

Urbanization is rapidly sweeping the state of Nagaland, be it the commercial hub of Nagaland-Dimapur; or the Capital of Nagaland-Kohima. Urbanization causes expansion both vertically as well as horizontally. Urbanization also causes a rise in land surface temperature known as Urban heat island (UHI), this is characterized by higher temperature rise in the human settlement due to high thermal capacity of construction anthropogenic materials; heat, reduced vegetation cover, etc. (Gago et al., 2013). Factors like urban configuration along with anthropogenic heat source, atmospheric pollution, geographic location and climate of the area also influence UHI (Nakayama and Hashimoto, 2011). The main contribution to thermal difference is thermal properties of the radiating surfaces and a reduced rate of evapotranspiration especially in urban settlement (Streutker, 2002). The application of urban heat however, also depends on the temperature itself. High temperature region known as urban hot spots (UHS) within UHI is mainly due to the development of extreme heat stress developed due to man-made activities in a UHI zone (Chen et al., 2006 and Guha et al., 2018). One of the main factors for UHI is landuse change caused by urbanization (Du et al., 2016).

The use of space technology such as remote sensing has in a huge way impacted the study of earth's resources and its management. The use of remote sensing technique allows a larger spatial coverage with better uniformity sampling than *in-situ* sampling technique. A more practical and approachable way to study UHI is to study the land surface temperature emitted from the surface which can be measured from the thermal band of multispectral satellite imageries (Abutaleb *et al.*, 2015).

Landsat series has been providing multispectral imageries since the 1970's. Landsat 8 is the latest mission launched successfully on 11<sup>th</sup> February 2013. Landsat 8 mission has two instruments known as Operational Land Imager (OLI) and Thermal Infrared Sensor (TIRS) from which 11 bands are generated. 9 bands (Band1-9) are covered under OLI and 2 bands (band 10 and band 11) under TIRS. The spatial resolution of OLI except band 8 (15 m) which is panchromatic, is 30 m. The band under TIRS comes under 100 m spatial resolution (USGS, 2019; Acharya and Yang, 2015).

This study is about the determination of urban heat island in Nagaland; how parameters such as vegetation, settlement, bare soil and water bodies respond in regard to land surface temperature. Urban heat island and anthropogenic activity reaction too has been studied for better understanding of temperature change in response to anthropogenic effect mainly through landuse changes.

# Study area

Nagaland, located in the northeastern part of India is undergoing drastic landuse changes

mainly through infrastructure developmental activities. For this study, three districts have been covered viz, Kohima, Dimapur and Wokha (Figure 1). The study area extends 93°32'23"E 94°23'27"E from to and 26°33'41"N to 25°31'5"N. The three districts have been considered basing on parameters such as Kohima-The State Capital, Dimapur -Economic hub, Wokha-Doyang hydel power. These primary factors besides certain other factor such as population concentration, area density etc. have been considered for the study.



Figure 1: Location map of the study area

The total population of the study area was 7,80,331 (2001 census) and 8,13,142 (2011 census) as shown in table 1. Urban population of Dimapur district was 1,97,277(2011 census) and 1,14,600(2001 census). Wokha district urban population was 37,636 (2001 census) and 35,004 (2011 census). Kohima district urban population consist of 1,21,088 (2011 census) and 77,030 (2001 census) illustrated through Figure 2.

Table 1: Total Population and Urbanpopulation of the study area

Distric ts	Popu	lation	Urban population		
	2001	2011	2001	2011	
Dimap	3,09,0	3,78,8	1,14,6	1,97,8	
ur	24	11	00	69	
Kohim	3,10,0	2,67,9	77 020	1,21,0	
а	84	88	77,050	88	
Wokha	1,61,2 23	1,66,3 43	37,636	35,004	
Total	7,80,3	8,13,1	229,26	35396	
	31	42	6	1	



Figure 2: Population distribution of the study area showing urban population and total population.

#### Methodology

Three multi-date scenes from Landsat 8 OLI and TIRS datasets were assessed for estimation of UHI. The three satellite imagery sets acquired was of winter season dated16<sup>th</sup> March 2014, 21<sup>st</sup> December 2017 and 25<sup>th</sup> January 2019 respectively from the United States Geological Survey (USGS) website, details regarding the imageries have been shown in Table 2. The flowchart of the methodology adopted is shown in Figure 3. The following process was followed to convert digital number into land surface the temperature.

Table 2: Characteristics of the satellite imageries

	mageries						
S1.	Date	Path/	Sensor	Resolution			
0		Row	ID				
1.	16-03-						
	2014	135/4	OLI	30 m			
2.	25-04-	2	and				
	2017		TIRS				
3.	2019-01-						
	25						

**Urban Population** 



Figure 3: Flow chart of the methodology.

### Digital number to top of atmosphere radiance values

Each satellite image is made up of numerous rows and columns known as pixel, each of these pixels are characterized by its digital number. The radiance was calculated based on the following equation-

$$L_{\lambda} = (M_L + Q_{Cal}) + A_L$$
  
Where,

 $L_{\lambda}$  =Top of atmosphere spectral radiance

 $M_L$ =Band specific multiplicative rescaling factor

 $A_L$  = Band specific additive rescaling factor  $Q_{Cal} =$ Quantized and calibrated standard product pixel value

Top of atmosphere to brightness temperature conversion

$$T = K_2 / (Ln\left(\frac{K_1}{L_{\lambda}} + 1\right))$$

Where,

T = TOA brightness temperature

 $L_{\lambda}$  = TOA spectral radiance

 $K_1$  = band specific thermal conversion constant  $K_2$  = band specific thermal conversion constant

### Normalized Difference Vegetation Index (NDVI)

NDVI is used to extract the vegetation richness of an area. The higher the vegetation content, greater is its NDVI value. It is represented by Near Infrared and red band in the band combination to bring out the vegetation differences. The equation for NDVI is as follows-

#### Land Surface Emissivity

Land surface emissivity is the average emissivity of a surface emitted from the Earth's surface; it is derived from the NDVI value. The equation for land emissivity is represented by-

 $PV = \left(\frac{NDVI}{NDVImax + NDVImin}\right)$ 

Where.

PV = Proportion of vegetation*NDVI* = *DN* value from *NDVI NDVImin*= Minimum DN from NDVI DVImax= Maximum DN from NDVI  $Emissivity = 0.004 \times PV + 0.986$ 

#### Land Surface Temperature

Land surface temperature (LST) is the radiative temperature from the surface derived through top of atmosphere, wavelength of emitted radiance and emissivity.

$$LST = \left(\frac{BT}{1}\right) + W \times \left(\frac{BT}{14380}\right) \\ \times Ln(emissivity)$$

Where,

BT =of atmosphere brightness top temperature

W= wavelength of emitted radiance

# **Results and Discussion**

The results obtained from the study are discussed under the following heads.

### NDVI

The NDVI values observed for the year 2014 range from -0.056 at the lower extremes and 0.499 at the higher extremes. The minimum and maximum values for NDVI for the year 2017 was -0.074 and 0.560 and for the year 2019 was -0.094 and 0.516 respectively as shown in Figure 4. Low NDVI value is observed in the settlement and areas with bare soil, mostly towards the south western part of the study area. The area under rich vegetation growth depicts a higher NDVI value.

### Emissivity

Emissivity holds higher value for areas under vegetation cover. Water bodies, bare soil and settlement region represents area under low emissivity. The minimum and maximum emissivity value for the year 2014 was 0.984

and 0.993. For the year 2017, minimum emissivity was 0.985 and 0.991 for maximum emissivity. The emissivity value for 2019 range was 0.991 for the minimum extreme and 0.984 for maximum extreme.

#### Land surface temperature

The land surface temperature represents the heat released from the surface. Land surface temperature depends on location and climatic condition besides others. Land surface temperature is different from atmospheric temperature. From the study it was observed that the year 2014 land surface temperature range was -1.822 for the lower temperature extreme and 37.278 for higher temperature extreme. Land surface temperature for 2017 was 3.250 for minimum temperature and 22.841 for maximum temperature. Both the temperature extremes have increased for 2019 with its value ranging from 1.756 for lower temperature and 26.585 for the higher temperature. Lower temperature is observed towards the south i.e. Dzükou valley located in Japfü mountain range. The higher rate of human settlement tends to increase the temperature in and around the area.

For better understanding of land surface temperature distribution, various parameter/class such bodies. as water settlement, vegetation and bare soil has been taken for comparative study. Under settlement class, urban class was studied based on Kohima, Dimapur and Wokha municipal. It was observed that a settlement nearer to the Highway displayed higher land surface temperature.

Table 3: Parameter classification showing maximum and minimum land surface temperature.

Param eter	2014		2017		2019	
	Min	Ma	Min	Ma	Min	Ma
		х.		х.		х.
Bare	28.	30.	19.	20.	19.	21.
soil	067	861	104	520	643	192
NDVI bare soil	0.0 83	0.1 64	0.1 43	0.3 28	0.1 27	0.2 15
Water	2.9 07	20. 427	17. 660	18. 671	16. 711	18. 688
NDVI water	- 0.0 52	0.0 49	- 0.0 64	- 0.0 48	- 0.0 90	0.1 49
Vegeta tion	10. 010	24. 783	9.3 98	19. 135	10. 671	19. 945
NDVI vegetat ion	0.1 06	0.4 47	0.2 42	0.4 91	0.2 20	0.4 79
Land surface temper ature	9.2 04	29. 380	9.2 45	20. 294	11. 215	20. 824

The highest land surface temperature for bare soil, vegetation and water bodies classification was observed in bare soil class, infact under bare soil it showed maximum land surface temperature with 30.861°C (2014) and lowest was observed in water bodies with 18.671°C (2017). Maximum value for minimum land surface temperature value was shown by bare soil with 28.067 °C (2014) and minimum value for land surface temperature was shown by water bodies with temperature 2.907°C (2014).

As observed in Figure 4 and Figure 6 (LST and NDVI) there is negative correlation between settlement and vegetation. The higher the values for LST, lower is its NDVI value shows that vegetation can check the effects of UHI, hence, lower the emissivity of heat, resulting in a cooler environment.


Figure 4: Maps showing different NDVI values for the year 2014, 2017 and 2019.



Figure 5: Maps showing Emissivity of the study area for the year 2014, 2017 and 2019.



Figure 6: Maps showing Land surface temperature for the study area showing year 2014, 2017 and 2019.

Urban Centre	2014		2017		2019	
	Min	Ma	Min.	Ma	Min	Ma
	•	х.		х.	•	х.
Wokh	23.	27.	15.8	18.	17.	20.
а	699	082	04	983	153	6
Dima	26.	29.	20.3	22.	19.	22.
pur	996	366	3	656	923	024
Kohi	23.	28.	14.2	20.	16.	22.
ma	434	67	598	978	017	835
NDVI	0.0	0.3	0.06	0.4	0.0	0.3
	88	36	8	54	84	99
Veget	10.	24.	9.39	19.	10.	19.
ation	010	783	8	135	671	945

Table4:Tableshowinglandsurfacetemperature of urban settlement





Figure 7: Graph showing land surface temperature of various parameters.

Land surface temperature for Urban Centres



Figure 8: Urban settlement showing respective land surface temperature

## Conclusion

There is no denial that urbanization is inevitable, it is part and parcel of infrastructural development. However, as seen from the study, there is a possibility of temperature increase in places where urbanization is more rapid. Landuse land cover is a major contributor to UHI phenomenon due to anthropogenic activities. The relation between the LST and NDVI is inversely related which indicates that green cover will go a long way to reduce surface temperature. Various factors also further escalate the urban heat island temperature such as roofing materials, low vegetation cover, exposed ground surfaces, urban structure, reflective glass in building materials etc. Proper mitigation and planning in the form of green spaces, green architectural design etc. within the urban setups will play a significant role in reducing UHI thereby creating a healthy environment.

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## <u>RÜSIE</u>

*Translation:* 'A movement for a cause' is the literal translation of the Tenyidie word '**Rvsie**'. It is a movement of united action and efforts by a group or a community for a specific purpose.

The name 'Rösie' befits the journal which is also a movement for a cause- of Science and Social issues. A forum to disseminate ideas and knowledge through united and collective efforts.

Name of the journal proposed by Dr Shörhozelie Liezietsu, President, Ura Academy.

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- 1. Length of the article- maximum 8 pages (A4 size paper), with a font size of 11 and a line spacing of 1.0 (single) in two columns (max. 1500 words).
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